

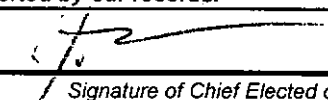
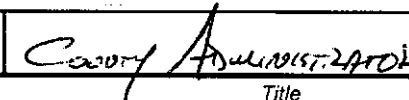


NEW YORK STATE DEPARTMENT OF TRANSPORTATION
5311 CONSOLIDATED GRANT APPLICATION FOR FEDERAL ASSISTANCE
NON-URBANIZED AREA PUBLIC TRANSPORTATION PROGRAM (2011-2012)



PART 1 - GENERAL INFORMATION					For NYSDOT Office Use Only	
Name and Address of Applicant: (County, City, Indian Reservation or Regional Transportation Authority)					Approved By:	
Name:	Tompkins County				Operating PIN's	
Address:	125 E Court Street Ithaca, NY 14850					
Payee Address: (if different than above)					Capital PIN's	
DUNS Number:	780253555	Fed ID:	15-6000469	NYS Vendor ID:	1000002603	Date
Applicant Contact (Contact person responsible for application)					Title:	Chief Transportation Planner
Name:	Dwight Mengel				Phone:	607-274-5560
Address:	Tompkins County DSS, 320 W MLK Jr/State Street Ithaca, NY 14850				Fax:	607-274-5666
					Email:	dwight.mengel@dfa.state.ny.
System Manager/Third Party Operator Contact #1 (applicants who also provide service re-enter information here)				System Manager/Third Party Operator Contact #2 (Add additional operator #2 here)		
	Tompkins Consolidated Area Transit, Inc.			Name:	Cornell Cooperative Extension of Tompkins County	
Title:	Joe Turcotte, General Manager			Title:	Ken Schlather, Executive Director	
Address:	737 Willow Ave Ithaca, NY 14850			Address:	615 Willow Ave Ithaca, NY 14850	
Phone:	607-277-9388 x444			Phone:	607 272-2292	
Fax:	607-277-9551			Fax:	607-272-7088	
Email:	jt1@tcatmail.com			Email:	KS47@cornell.edu	
System Manager/Third Party Operator Contact #3 (Add additional operator #3 here)				System Manager/Third Party Operator Contact #4 (Add additional operator #4 here)		
Name:				Name:		
Title:				Title:		
Address:				Address:		
Phone:				Phone:		
Fax:				Fax:		
Email:				Email:		

PART 2 - PROJECT DESCRIPTION				
Area Served: List the specific Municipalities in the service area to the right.	Tompkins County			
Funding Type	Service Period	Insert "X" in box if Applying	Estimated Project Cost	Federal Assistance
Operating Assistance	2013 (2013-14)		\$0	\$0
Operating Assistance	2014 (2014-15)		\$0	\$0
Capital Assistance	2013 (13-14)- 2014 (14-15)	X	\$3,276,073	\$2,620,858

PART 3 - PROJECT CERTIFICATION		
I certify that the accompanying data in this application are true and correct to the best of my knowledge and belief and are supported by our records:		
		12/27/12
Signature of Chief Elected or Designated Official	Title	Date

SECTION 5311 VEHICLE/CAPITAL REQUEST FORM

Sponsor:

Instructions: Summarize the quantity, description, and estimated cost for each type of vehicle or other capital equipment being requested. Enter into clear cells only, the rest of the document is locked from entry. All other cells are formula driven and will self calculate.

Operator 1:	Tompkins Consolidated Area Transit, Inc.	Operator 2:	Cornell Cooperative Extension of Tompkins County
Operator name as shown on "General Information" Tab		Operator name as shown on "General Information" Tab	
Operator 3:		Operator 4:	
Operator name as shown on "General Information" Tab		Operator name as shown on "General Information" Tab	

Request Number	Operator Number	Description of Vehicles Requested	Number of Requests	Unit Cost (Enter from Price Chart Below)	Total Cost	Service Type	New or Replacement Vehicle	Planned Procurement Method	Replaced Vehicle VIN(s) (Separate VINs with a comma)
Request 1	Operator 1	Other (specify)	2	\$440,000	\$880,000	Fixed Route	Replacement	Piggyback	5FYD2LN012U024597, 5FYD2LN032U024588
Request 2	Operator 1	Other (specify)	4	\$115,000	\$460,000	Demand	Replacement	Piggyback	15GGD291281077426, 15GGD291461077427, 15GGD291661077428, 15GGD291661077429
Request 3					\$0				
Request 4					\$0				
Request 5					\$0				
Request 6					\$0				
Request 7					\$0				
Request 8					\$0				

Request Number	Operator Number	Description of Equipment Requested	Number of requests	Unit Cost (Provide Estimate)	Total Cost	Service Type	New or Replacement Vehicle	Planned Procurement Method	Detailed Description
Request 1	Operator 2	Other Equipment	1	\$406,250	\$406,250	Other	Replacement	BID	Way2Go Mobility Management (2 years): See narrative
Request 2	Operator 1	Bus Shelter	10	\$6,925	\$69,250	Fixed Route	Replacement	BID	Both new & replacement shelters - see narrative
Request 3	Operator 1	Technology	1	\$1,042,373	\$1,042,373	Fixed Route	New	BID	TCAT IT/ITS Project: See narrative
Request 4	Operator 1	Maintenance Equipment	3	\$82,000	\$246,000	Fixed Route	Replacement	BID	Bus Lifts Replacement: See narrative
Request 5	Operator 1	Construction or Rehabilitation	1	\$143,500	\$143,500	Other	Replacement	BID	Bus Washer replacement: See narrative
Request 6	Operator 1	Construction or Rehabilitation	1	\$10,250	\$10,250	Other	Replacement	BID	Kitchen Rehab: See narrative
Request 7	Operator 1	Technology	1	\$8,200	\$8,200	Other	New	BID	Facility Security Camera System: See narrative
Request 8	Operator 1	Construction or Rehabilitation	1	\$10,250	\$10,250	Other	Replacement	BID	Breakroom/Locker Rehab: See narrative

Project Budget	
Total Estimated Project Cost	\$3,276,073
Federal Share	\$2,620,858
State Share	\$327,607
Local Share	\$327,607
Source of Local Share	Other (specify below)
If Other, specify here	TCAT / CCETC Funds

PRICE CHART: Vehicle and Other Capital Cost Estimates	
OGS I or Comparable (\$50,000)	OGS V or Comparable (\$200,000)
OGS II or Comparable (\$55,000)	OGS VI or Comparable (\$110,000)
OGS III or Comparable (\$65,000)	Service Vehicle (\$40,000)
OGS IV or Comparable (\$130,000)	Trolley, Commuter, Other (Provide Estimate)

Please provide an estimate (price quote) for other equipment requested.
Supporting documentation must accompany request.

SECTION 5311 POLICY, PLANNING AND PLACEMENT PHASES OF CAPITAL PURCHASE REQUESTS

Sponsor:	Instructions: For equipment such as shelters, bus stop signs, and other peripheral equipment all preplanning and placement locations must be performed and identified on this document prior to submission. Incomplete information will result in denial. Click below for further information.	
Operator 1:	Click here to see "Suggested Procedures" for more information. Tompkins Consolidated Area Transit, Inc.	
Operator 2:	Cornell Cooperative Extension of Tompkins County <i>Operator name as shown on "General Information" Tab</i>	
Operator 3:	Operator 4: <i>Operator name as shown on "General Information" Tab</i>	

Below are suggested checklist items to consider pertaining to planning and/or placement of capital purchase requests.		
Review Zoning Policy and Procedures	Passenger Considerations / Amenities	Placement
Identified Transit amenity locations	Benches	Placement Near Bus Stops/Roadway
Transit Route(s) affected.	Vending	Curb Clearance
Residential, commercial, industrial need.	Fare Purchasing Machines	Neighboring Property Owners
Peripheral projects to be assessed.	Trash Receptacles	Parking Restrictions (car & bike)
Development plans	Lighting	Driveways/Signage
Design Criteria	Landscaping	Landing Area and Construction Details
Construction Impacts/NEPA-SEQRA	Intelligent Transportation Systems (ITS)	Pedestrian access
Traffic safety	Information Panels	ADA Access - Safety and Security
Resolution of conflicts plan	Maintenance and Responsibilities	Limited English Proficiency (LEP)
Project point of contact person	Vandalism Control	Kiosks, receptacles, and displays

Please enter your project type and physical location below		
Facility Type (New)	Physical Location (enter address below)	Shelter(s)
		Shelter 1
		Shelter 2
		Shelter 3
		Shelter 4
		Shelter 5
		Shelter 6
		Shelter 7
		Shelter 8
		Bus Signs
		Physical Location (Select from Drop-Down))

Tompkins County, NY

**SECTION 5311 CONSOLIDATED GRANT APPLICATION
CAPITAL BUDGET APPLICATION NARRATIVE**

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I. Introduction

Tompkins County requests \$2.6 million in FTA Section 5311 funding for its mobility management and TCAT public transit projects in 2013 & 2014. Our request is not a mere wish list. It is a product of coordination and negotiation on part of County administration, legislators, ITCTC staff, TCAT managers and board members to identify important projects that are ready to go in 2013-14.

FTA Sec 5311 Grant Budget

TCAT Projects	Federal	NYS DOT	TCAT	TOTAL
40 ft. Replacement Transit Buses (2)	\$ 704,000	\$ 88,000	\$ 88,000	\$ 880,000
Under 30 ft. Replacement Buses (4)	\$ 368,000	\$ 46,000	\$ 46,000	\$ 460,000
Rural Bus Shelters (10)	\$ 55,400	\$ 6,925	\$ 6,925	\$ 69,250
ITS Project	\$ 833,898	\$ 104,237	\$ 104,237	\$ 1,042,373
Bus Washer	\$ 114,800	\$ 14,350	\$ 14,350	\$ 143,500
In-ground Bus Lifts (2)	\$ 196,800	\$ 24,600	\$ 24,600	\$ 246,000
Facility Security Camera System	\$ 6,560	\$ 820	\$ 820	\$ 8,200
Locker Room Rehab	\$ 8,200	\$ 1,025	\$ 1,025	\$ 10,250
Kitchen Rehab	\$ 8,200	\$ 1,025	\$ 1,025	\$ 10,250
TCAT Subtotal	\$ 2,295,858	\$ 286,982	\$ 286,982	\$ 2,869,823
County Project	Federal	NYS DOT	CCETC	TOTAL
Mobility Management Way2Go (2013-2014)	\$ 325,000	\$ 40,625	\$ 40,625	\$ 406,250
TOTAL	\$ 2,620,858	\$ 327,607	\$ 327,607	\$ 3,276,073

Further, the proposal supports NYSDOT's objectives of developing effective mobility management programs and to sustain a state of good repair for public transit assets.

Tompkins County is at the forefront of mobility management and public transit in the Southern Tier.

Mobility Management

- In December the County completed a RFP process which selected Cornell Cooperative Extension of Tompkins County to operate the Way2Go community mobility education program in 2013-14.
- The Ithaca-Tompkins County Transportation Council's Seven County Regional Transportation Plan is in its final three months of completion. This mobility management plan is building upon the lessons learned of Way2Go in recommending new regional mobility management strategies.

- The County's mobility management effort supports a robust coordinated plan process and continuous work to improve coordination, development, marketing and community education of its diverse family of mobility services.

TCAT Public Transit

- TCAT ridership will exceed 4 million passengers in 2012, an increase of 1 million passengers since 2006. Rural ridership has set new annual records since 2006, growing from 391K in 2006 to 536K in 2011.
- TCAT seeks to modernize its data management systems controlling its operations, upgrade critical maintenance systems in the County's 20 year old transit center, replace buses at the end of their useful life and install rural shelters with new bicycle amenities.

Section 5311 funding is critical for Tompkins County to invest in an improved mobility future as it is the most reliable source of FTA and State capital funding for buses and projects of significance in recent years.¹

II. County Mobility Management Project – Way2Go

The County realizes NYSDOT did not include an allocation for mobility management for the County in the consolidated application. The rural formula program is a critical source of funding because there was not a statewide solicitation process for prior year Rural JARC and New Freedom allocations. Thus, the County is applying for funding for mobility management as an eligible capital project under Section 5311.

The County begins 2013 with a mobility management project budget with \$258K in expenses and \$94K in revenues. Therefore, with a deficit of \$164K, there is great urgency to the County's request of 5311 funding, \$125,000 for 2013 and \$200,000 for 2014. The sooner the County knows of NYSDOT's decision the sooner the County will be able to continue its program or reduce, reorganize or phase-out its mobility management project – Way2Go.

Way2Go: Community Mobility Education & Information

In December 2012, the County completed its RFP process and awarded a two year contract to Cornell Cooperative Extension of Tompkins County to operate and further develop Way2Go. Way2Go was the highest priority program developed from the County's Coordinated Transportation Plan in 2007. Way2Go was to address a chronic situation in Tompkins County

¹ The 5311 program consistently enabled TCAT and the County to replace all rural buses as they became eligible. The 2009 ARRA program enabled TCAT to replace 15 eligible buses, of which Rural ARRA replaced 6 buses, Urban ARRA replaced 3 buses and STP Flex replaced 7 buses. The last time a Congressional earmark bought a whole bus was in 2002. The last SDF grant was in 2007.

which has the highest transient population rate of all counties in New York State.² Thus, the 27% of new and existing residents who move annually need to assess their mobility options. In addition, access to affordable and equitable transportation options continues to be a concern for Tompkins County residents and for commuters working in Tompkins County. The Coordinated Plan recognized that there are significant barriers to rural communities who are traveling to and from Ithaca and out of Tompkins County. Therefore, the Coordinated Plan called for the development of a Community Mobility Education Program, Way2Go, to address the gaps in transportation service and barriers to the target audiences.

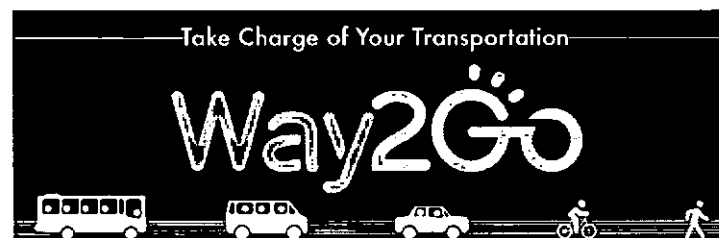
Further, Way2Go's community mobility education addresses objectives in health and transportation planning.

- The Health Planning Council, a program of the Human Services Coalition of Tompkins County, conducted a community needs assessment that found transportation was a barrier to seniors and caregivers.
- The Ithaca-Tompkins County Transportation Council *2030 Long-Range Transportation Plan* (LRTP) identified the need for a coordinated systems approach regarding transportation programs and services so that they can operate more efficiently and promote multi-modality.

Way2Go began with three primary outreach objectives:

1. To create a one-click website of all mobility choices.
2. To conduct mobility problem solving workshops for employers and helping professionals.
3. To focus public outreach on seniors, persons with disabilities and persons with limited income and especially to rural populations with limited mobility choices.

Further, Way2Go organized a regular local discussion group of mobility operators, human service agencies, municipalities, advocates, and planners to imagine new combinations of mobility services and opportunities for creative co-marketing and outreach. Cornell Cooperative Extension succeeded with the development phase of Way2Go and leveraged its network of community connections, especially in rural towns, to spread its mobility message – "Take Charge of Your Transportation".



² <http://quickfacts.census.gov/qfd/states/36/36109.html> Tompkins County population living one year or more in same house is 72.6%, NYS average is 88.5%.

Scope of Work for 2013-14

Way2Go's will reach the target audiences in the following ways:

One-Call/One Click Service

- 2-1-1 Tompkins is the 24/7 telephone service provider who answers individual questions about mobility choices and destinations, refers people to the County mobility program specialist (DSS) for problem solving or to mobility operators to schedule rides or more detailed information.
- 2-1-1 Tompkins takes the ride requests for the FISH volunteer driver program to medical services.
- 2-1-1 Tompkins will host the web-based Individual Trip Plan Database for Caseworkers, as a tool for searching trip plans to solve individual trip planning needs of consumers. (Launching in Spring 2013)
- Way2Go works with 2-1-1 Tompkins to maintain an updated list of transportation programs and services to consumers.
- Way2Go's website is the primary web portal to mobility choices and mobility information for consumers.

Website

- Keep informational how-to videos about TCAT, Ithaca Carshare and ridesharing on the website for consumers to view
- Host travel training resources on the Way2Go website for residents with hearing and visual impairments, cognitive disabilities and developmental disabilities
- Maintain the Way2Go Transportfolio online as an easily accessible source of transportation information for helping professionals
- Integrate a carbon emission calculator into the Way2Go website so businesses can estimate savings for reducing fleets of vehicles

Employers

- Work with five employers each year, providing them with bulletin boards, informational materials and other educational tools for staff
- Assist the Get Your GreenBack campaign in hosting an employee benefit fair
- Present transportation options at staff meetings and brown bag lunches
- Promote the Ithaca Carshare Organizational Membership plan to employers
- Support organizations in promoting a bike-friendly office environment, with bike racks on site, covered bike storage and access to showers for bicycle commuters

Workshops for Helping Professionals

- Host two Workshops for Helping Professionals, in person or as a webinar, annually
- Offer the workshop online as a webinar so individuals can view at will
- Make the Transportfolio available online for webinar viewers
- Collect information from caseworkers and front-line staff about barriers and success stories in regards to transportation programs and services

Marketing

- Convert Way2Go instructional videos into 30 second commercials to air on local television stations to reach a broader audience
- Record Public Service Announcements to air on local radio stations
- Advertise with local newspapers that target priority communities (Prime Time, Pennysaver, Newcomer's Guide) to promote specific workshops and classes
- Table at local community events to gain more exposure in the community, and to increase residents' knowledge of basic transportation options
- Distribute materials at various locations where target populations may frequent, such as food pantries, rural community buildings, faith based organizations, etc.

Senior Driver Education

- Partner with local senior living facilities, senior activity centers and other community organizations to identify locations to reach out to senior drivers and their families through the use of workshops, print, video and audio materials
- Educate family members and caregivers on how to have a conversation with their loved one about retiring from driving, so that they can support them in successfully giving up driving
- Create a Retiring from Driving video to raise awareness, and offer Way2Go as a resource, in Tompkins County
- Organize on-going Car Fit events that enable seniors to operate their vehicles more safely and comfortably , if they decide to continue to drive
- Collaborate with rotary clubs and faith based organizations to identify individuals and their families who may be interested in retiring from driving

Travel Training Coordination

- Facilitate the Travel Training Network meetings, a coalition of professionals in the County that provide services to individuals with developmental or cognitive disabilities, to encourage collaboration and to further travel training capacity
- Aid in reducing the number of Gadabout trips, and increase the number of trips using public transit, to reduce the amount of money being spent by the county on paratransit services
- Assist in developing a formal travel training program in Tompkins County to encourage use of public transit
- Explore providing closed-captioning for the Way2Go instructional videos for TCAT, Ithaca Carshare and ridesharing so that they can be used by individuals with hearing impairments
- Work with local schools to integrate travel-training into special education classes and into Individual Education Plans (IEPs) to promote behaviors around using public transit

Faster and Farther Mobility Coordination

- Facilitate the *Faster and Farther* mobility meetings, a coalition of mobility service providers, human service agencies, transportation planners, Cornell Univ. & Ithaca College and sustainability professionals to investigate opportunities for enhancing the consumer appeal and use of community mobility services.
- Facilitate the ITNEverywhere Working Group meetings to evaluate options for developing business systems and services for integrated community mobility services.

School Success Transportation Program

- Provide support and training to School Transportation Liaisons in all schools in the Ithaca City School District.
- Advocate with SST to reform ICSD's policies to provide equitable transportation access to parent meetings and after school activities targeted to limited income rural school families, including use of RED volunteer driver program and school-pool rideshare programs.

Regional Mobility Management Coordination

- Participate to conclusion in the Seven County Regional Mobility Management Plan.
- Work with Cortland County in developing their use of the Way2Go brand.
- Prepare a work program for *Way2Go-in-a-Box*, a program planning tool for Way2Go affiliated counties.

Way2Go Budget

The proposed two year budget is maintenance of effort budget.

Expenses	2013	2014
Personnel	\$ 131,547	\$ 134,178
Personnel Fringes	\$ 48,888	\$ 51,821
Program Expenses	\$ 50,070	\$ 50,070
Subtotal Program Expenses	\$ 230,505	\$ 236,069
Program Support Fee (12%)	\$ 27,661	\$ 28,328
Total Budget	\$ 258,166	\$ 264,398
Revenues		
2012 JARC + Match	\$ 94,211	n/a
Net Project Cost	\$ 163,955	\$ 264,398
Federal Section 5311	\$ 125,000	\$ 200,000
NYSDOT Match	\$ 16,395	\$ 26,440
CCETC Funds	\$ 22,488	\$ 37,887

Personnel Expenses include:

- Full-time positions: Program Manager & Travel Trainer Coordinator
- Part-time positions: Special Project Coordinator & Program Assistant
- Partial positions: Environmental Program Leader, Administrative & Tech Support

Program Expenses include:

Work Study Interns - \$3,500	Contract: 2-1-1 Tompkins - \$10,000
School Success Transportation Liaisons - \$4,200	Contract: Media/ Tech/Video - \$7,000
Staff Development - \$3,500	Contract: Travel Training - \$2,500
Communications - - \$1,270	Meetings Exp - \$2,200
Marketing - \$12,300	Computer software/hardware - \$2,500
Supplies - \$1,000	Misc exp., - \$100

III. TCAT Capital Projects

Calculation of Rural (5311) share (41%) based on Revenue Miles

TCAT proposes nine capital projects for Sec 5311 funding. Five projects involve rehabilitation activities in the TCAT Facility and one project is the IT Project, a data management system supporting TCAT operations. Since TCAT has urban and rural bus services, it is necessary to identify a fair allocation of rural project expenses for TCAT Facility & IT projects.

Tompkins County requested TCAT to calculate the percentage of rural revenue miles to total revenue miles for the current TCAT route system operating in calendar year 2012, after bus service to Tioga County was discontinued. Therefore, the rural revenue mile percentage is based on Jan-Nov 2012 data.

Jan-Nov 2012 Urban Revenue Miles	Jan-Nov 2012 Rural Revenue Miles	Jan-Nov 2012 Total Revenue Miles
869,559	592,246	1,461,805
59%	41%	100%

The Rural Revenue Miles Percentage of 41% was used to calculate the eligible project costs of TCAT Facility and IT Projects for this Section 5311 application.

Budget Summary

Tompkins County requests \$2,295,858 in Section 5311 funds and a State Match of \$286,982 for the following TCAT projects:

TCAT Projects	Federal 5311	NYS DOT	TCAT	RURAL TOTAL
40 ft. Replacement Transit Buses (2)	\$ 704,000	\$ 88,000	\$ 88,000	\$ 880,000
Under 30 ft. Replacement Buses (4)	\$ 368,000	\$ 46,000	\$ 46,000	\$ 460,000
Rural Bus Shelters (10)	\$ 55,400	\$ 6,925	\$ 6,925	\$ 69,250
ITS Project Phases A1 & A2	\$ 833,898	\$ 104,237	\$ 104,237	\$ 1,042,373
Bus Washer	\$ 114,800	\$ 14,350	\$ 14,350	\$ 143,500
In-ground Bus Lifts (2)	\$ 196,800	\$ 24,600	\$ 24,600	\$ 246,000
Facility Security Camera System	\$ 6,560	\$ 820	\$ 820	\$ 8,200
Locker Room Rehab	\$ 8,200	\$ 1,025	\$ 1,025	\$ 10,250
Kitchen Rehab	\$ 8,200	\$ 1,025	\$ 1,025	\$ 10,250
TOTAL	\$ 2,295,858	\$ 286,982	\$ 286,982	\$ 2,869,823

A complete budget picture of the rural and urban shares for TCAT Projects is shown below:

TCAT Projects	Rural Share	Rural %	Urban Share	Urban %	Project Total
40 ft Replacement Transit Buses (2)	\$ 880,000	100%	\$ -	0%	\$ 880,000
Under 30 ft Replacement Buses (4)	\$ 460,000	100%	\$ -	0%	\$ 460,000
Rural Bus Shelters (10)	\$ 69,250	100%	\$ -	0%	\$ 69,250
ITS Project Phases A1 & A2	\$ 1,042,373	41%	\$ 1,500,000	59%	\$ 2,542,373
Bus Washer	\$ 143,500	41%	\$ 206,500	59%	\$ 350,000
In-ground Bus Lifts (2)	\$ 246,000	41%	\$ 354,000	59%	\$ 600,000
Facility Security Camera System	\$ 8,200	41%	\$ 11,800	59%	\$ 20,000
Locker Room Rehab	\$ 10,250	41%	\$ 14,750	59%	\$ 25,000
Kitchen Rehab	\$ 10,250	41%	\$ 14,750	59%	\$ 25,000
TOTAL	\$ 2,869,823		\$ 2,101,800		\$ 4,971,623

Therefore, the total capital budget for TCAT Projects is nearly \$5 million. The Rural Share of the projects is \$2.9 million or 58% total projects cost.

Attached are Project Initiation Plans for each TCAT Project:

- 40 Foot 12-Yr Replacement Transit Buses (2)
- Under 30 ft. Replacement Buses (4)
- Rural Bus Shelters (10)
- ITS Project Phases A1 & A2
- Bus Washer
- In-ground Bus Lifts (2)
- Facility Security Camera System
- Locker Room Rehab
- Kitchen Rehab

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **40 Foot 12-Yr Replacement Transit Buses**

2. Scope: (Project Description, need, quantity & procurement strategy)

As part of TCAT, Inc.'s on-going 40 Foot Transit Bus replacement project Sec 5311 funding is requested for Transit Bus replacement. TCAT, Inc.'s requirement will be is linked to Transit Buses that have reached their useful lives. This grant request will cover a requirement of 2 Transit Buses (40 Foot) for our fleet. TCAT, Inc.'s desired method of procurement is through Bus options and Piggybacking off contracts containing said options.

Buses to be replaced:

201	2002	NEWFLYER	5FYD2LN012U024597
202	2002	NEWFLYER	5FYD2LN032U024598

3. Budget

Source	5311 Grant Total
FTA	\$704,000
NYS DOT	\$88,000
TCAT	\$88,000
Total	\$880,000

4. Milestones (Max 10 steps)

Action	Date
Procurement using Options	2/20/2013
Delivery of first vehicle	12/31/2014
Project Completed	2/1/2015
Project Close-out	3/1/2015

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Yes**
3. Does Project require approvals from third parties? **No**

6. Name/Signature of the Project Manager, and any third parties.

Daniel A. Tome

TCAT, Inc. Purchasing and Projects Manager

607-277-9388 x540

Date: 12/14/2012

Dan Tome

From: Jerry.Sheehan@gillig.com
Sent: Thursday, November 01, 2012 10:07 AM
To: Dan Tome
Subject: Re: Hey There

Dan,

It is all based on the Producer Price Index (PPI). On average the range would be anywhere from 3-5% annual.

Let me know if that helps.

Regards,

Jerry Sheehan
Regional Manager
Gillig LLC
Cell # 510-329-0320

On Nov 1, 2012, at 9:56 AM, "Dan Tome" <dt1@tcatmail.com> wrote:

Thanks Jerry.

Basically, if we were to say that a bus is at \$400K, today, what should we use for inflationary measures in the event we don't receive funding until a few years from now.

Again, thanks!!

Regards,

Daniel A. Tome
Purchasing and Projects Manager
Tompkins Consolidated Area Transit, Inc. (TCAT)
737 Willow Avenue
Ithaca, New York 14850-3214

(607)277-9388 Ext.540
(607)229-4223 Cellular
(607)277-9551 Fax
dt1@tcatmail.com
www.tcatbus.com

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expressed permission is strictly prohibited. If you are not the intended recipient, please contact the sender and delete all copies.

From: Jerry.Sheehan@gillig.com [<mailto:Jerry.Sheehan@gillig.com>]

Sent: Wednesday, October 31, 2012 3:41 PM

To: Dan Tome

Subject: Re: Hey There

Dan,

Sorry we didn't get a chance to catch up. Things seem to go very quickly during those conferences.

With regards to a today price for a 40' diesel bus you are probably looking at low \$400K range. Albany has options and so does Buffalo if you guys are considering new buses.

I'm not sure what you are looking for regarding the multiplier. Percentage of increase to the bus price?

Let me know.

Regards,

Jerry Sheehan
Regional Sales Manager
Gillig LLC
Cell: 510-329-0320
Fax: 717-747-3988
<M2.jpg>

From: "Dan Tome" <dt1@tcalmail.com>
To: <JSheehan@gillig.com>
Date: 10/31/2012 03:09 PM
Subject: Hey There

Jerry –

Good Afternoon.

How is it going? I saw you a couple of times from afar at NYPTA, but never did get a chance to catch up with you.

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **Under 30 Foot Replacement Buses**

2. Scope: (Project Description, need, quantity & procurement strategy)

Our Transit Bus project funding is desired for Transit Bus (under 30 Foot) replacement. TCAT, Inc.'s funding requirements are linked to Transit Buses that have (or will have) reached their useful lives. This grant request will cover a requirement of 4 Transit Buses (under 30 Feet) for our fleet. TCAT, Inc.'s desired method of procurement is through Bus options and piggybacking off New York State Office of General Services' contract containing said options.

The buses being replaced are:

609	2006	FORD E450	15GGD291261077426
610	2006	FORD E450	15GGD291461077427
611	2006	FORD E450	15GGD291661077428
612	2006	FORD E450	15GGD291861077429

3. Budget

Source	5311 Grant Total
FTA	\$368,000
NYS DOT	\$46,000
TCAT	\$46,000
Total	\$460,000

4. Milestones (Max 10 steps)

Action	Date
Procurement using Options	3/20/2013
Delivery of first vehicle	12/31/2014
Project Completed	3/1/2015
Project Close-out	3/1/2015

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Yes**
3. Does Project require approvals from third parties? **No**

6. Name/Signature of the Project Manager, and any third parties.

Daniel A. Tome, TCAT, Inc. Purchasing and Projects Manager
607-277-9388 X540, Date: 12/14/2012

EMPIRE BUS SALES, LLC

Eldorado Aero Elite / International 3200

TCAT

Eldorado Aero Elite 290 / IC HC Chassis

22 Seated / 2 Wheelchairs

- International 3200 multiplex chassis
- 205" wheelbase (320 body)
- International Maxx Force 7 diesel engine
- Allison 2200 PTS series automatic transmission
- Alternator 320 amp Leece-Neville
- Rear air ride suspension
- Front and rear stabilizer bars
- 60 gallon fuel tank between frame rails behind rear axle
- Dual batteries
- Batteries mounted under driver step in enclosed battery box
- Bendix air compressor and air dryer
- Engine block heater
- Front and rear power disc brakes, split system with 4 channel ABS
- Air parking brake
- Power Steering
- Multiplex interlock system
- All chassis keyed alike
- 245/70R 19.5 load range G tires
- Tilt steering wheel
- Rear tire valve stem extenders
- International OEM front heat/defrost/AC
- 3/4" Marine grade plywood subfloor
- ACT 70,000 BTU rear AC system
- Skirt mounted condenser
- LED Exterior lighting on body

International 3200 / Eldorado Aero Elite

Empire Bus Sales, LLC

TCAT

Page II

- Tilt Steering wheel
- Pull cord passenger stop request system with wheelchair push buttons
- Front and side roller type destination signs
- Fire extinguisher and road hazard reflective triangles
- 4 x 8 Inch convex interior rear view mirror
- Heater/remote exterior mirrors
- Right and left hand passenger entrance handrails
- 30" Dual leaf electric entrance door
- National high back air ride driver seat
- Freedman high-back seats level five covers
- Reclining fixed seats / foldaways are non-reclining
- Freedman forward facing foldaway seats with level five covers
- Retractable lap restraints
- Seat top grab handles on foldaway seats
- Gray transit rubber flooring-coved to seat rail
- Driver stainless steel stanchion with padded modesty panel and acrylic barrier
- Dual leaf wheelchair entrance door with t-mount door holdbacks
- 2" dash mounted wheelchair door ajar light
- 2 Wheelchair positions with Q-Straint 8100 Slide and Click restraints
- 96" Wide body exterior
- Waxoyl body undercoating and zinc chromate undercoating
- Roof escape and ventilation hatch
- Fuel sender access panel
- 65,000 BTU rear heater
- Back up alarm
- PA/AM/FM/CD
- Interior overhead parcel racks
- Passenger reading lights

International 3200 / Eldorado Aero Elite

Empire Bus Sales, LLC

TCAT

Page III

- Rear emergency door
- Rear emergency door ajar buzzer
- Wheel width rear mud flaps
- LED lighting exterior entrance area
- Antenna access cover in ceiling
- Antenna conduit with pull cord
- Two way radio pre-wire
- NYDOT package

2012-2013 Budget Figure \$ 115,000

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **Bus Shelter and Bus Shelter Installation Project**

2. Scope: (Project Description, need, quantity & procurement strategy)

TCAT, Inc.'s Bus Shelter and Bus Shelter Installation Project involves on-going replacement of antiquated bus shelters and introduction of new bus shelters strategically located throughout TCAT, Inc.'s Bus Routes. All new bus shelter installations are a product of TCAT, Inc.'s increase in ridership.

TCAT, Inc.'s Bus Shelter and Bus Shelter Installation needs, for this 5311 Grant, encompasses 10 sites located on various Rural Routes within Tompkins County.

TCAT, Inc.'s procurement phases will involve Request for Proposals (RFPs) for Bus Shelters and Bus Shelter Installation, RFP review, Contract Awards, Project Management and Project Closure.

3. Budget

Source	5311 Grant Total
FTA - 5311	\$55,400
NYS DOT - 5311	\$ 6,925
TCAT - 5311	\$ 6,925
Total	\$69,250

	Replacement Cost	Installation	Total
10 Rural Bus Shelters	\$35,000.00	\$20,000.00	\$55,000.00
Amenities for the Designated Bus Stop Project			
30 Bus Stop Signs		Installed	\$4,500.00
15 Bike Racks at Rural Bus Stops		Installed	\$2,250.00
15 Concrete Pad extensions for Bike Racks		Installed	<u>\$7,500.00</u>
Project Total			\$69,250.00

4. Milestones (Max 10 steps)

Action	Date
RFP Issuance	7/1/2013
Contract Awards	9/1/2013
Project Completed	12/31/2013
Project Close-out	1/31/2014

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Yes**
3. Does Project require approvals from third parties? **Yes (Permitting and Board Approval)**
 - County or NYSDOT Regional 3 Engineer ROW permits

3. For Bus Shelter Projects:

Bus Shelter #	Street Address or Intersection & Name of Municipality
1	Route 366 Varna
2	Danby, New York State Route 96B @ Gunderman Road
3	Ithaca, New York State Route 96 Cayuga Medical Center (Main)
4	Enfield, New York State Route 79 @ Route 327 (Enfield Road)
5	Lansing, Triphammer Road @ Kendel
6	Slaterville, New York State Route 79
7	Freeville, New York State Route 366
8	Trumansburg, New York State Route 96
9	Trumansburg, New York State Route 96
10	Dryden, New York State Route 13

6. Name/Signature of the Project Manager, and any third parties.

Daniel A. Tome
TCAT, Inc. Purchasing and Projects Manager
607-277-9388 x540
Date: 12/14/2012

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **TCAT, Inc. I.T. Project Phases A1 & A2**
2. Scope: (Project Description, need, quantity & procurement strategy)

Project Description (Project A Phase A1)

- Implement Fixed-Route Scheduling with Pullout Management Software, including a General Transit Feed Specification (GTFS) feed, and schedules on website
- Implement CAD/AVL Central Software including interface with Fixed-Route Scheduling Software
- Install OBCs (including GPS receivers) and MDTs on fixed-route and demand response vehicles
- Integrate OBCs with existing cellular modems, WLAN modems, antennae on all vehicles
- Develop and implement Real-Time Passenger Information Software module on existing servers
- Integrate Real-Time Passenger Information Software module with CAD/AVL Central Software and Fixed-route Scheduling Software
- Provide real-time information data feed for the use of third party developers
- Install Flat Panel LCD or LED Dynamic Message Signs at major transfer hubs and configure them to communicate with the Central System.

Benefits

Fixed-Route Scheduling Software:

- Reduces time required in building schedules
- Enables development of more efficient and optimized schedules
- Incorporates more complexity and flexibility in schedules
- Supports Computer Aided Dispatch /Automatic Vehicle Location systems

CAD/AVL System for Fixed-Route:

- Enables monitoring of fleet location and schedule adherence
- Provides schedule adherence feedback to operators
- Collects comprehensive sample of travel time data
- Allows communication by data messages
- Supports other transit technologies

CAD/AVL System for Demand Response:

- Enables monitoring of fleet location
- Allows communication by data messages

Real-Time Passenger Information System:

- Provides passengers with accurate and reliable trip information

Benefits

- Makes transit system easier to navigate for all passengers
- Makes advertisements more visible to passengers
- Allows passengers to plan their trip in advance
- Allows passenger notification in case of delays or unplanned changes

Needs Addressed

Fixed-Route Scheduling Software:

- More efficient methods of developing schedules
- CAD/AVL System
- Assistance in maintaining situational awareness on the current location and schedule adherence of vehicles
- Accurate and reliable collection of operational data

Real-Time Passenger Information System:

- Easily accessible and accurate general information to passengers
- Frequently updated real-time information to passengers
- Increased ridership

Project Description (A Phase A2)

- Implement Demand Response Scheduling System Software
- Install Sign-In Kiosk, and integrate with Fixed-Route Scheduling with Pullout Management Software
- Install AVA equipment on all fixed-route vehicles, and integrate with OBCs
- Implement central AVA software as module for central CAD/AVL Software
- Install covert alarm switches
- Upgrade on-board camera systems on vehicles, as needed, and integrate with OBCs
- Upgrade cellular and wireless data communications hardware and software at control centers
- Implement providing real-time information into TCAT website
- Implement Interactive Voice Response (IVR) System for providing real-time information and

Benefits

Demand-Response Scheduling Software:

- Eliminates need for paper manifests
- Enhances manifest completion data collection
- Improved same day demand response service

AVA:

- Makes transit system easier to navigate for all passengers
- Fulfills ADA requirements

Farebox, Headsigns, and Video Integration:

- Reduces workload on operators by providing single logon for CAD/AVL and farebox
- Provides time synchronization of all devices with OBC
- Allows fault info collection from each integrated device
- Farebox integration will also provide trip change data to the farebox
- Headsign integration will automate setting the headsign message for each trip
- Video integration will provide location data to DVR

IVRS and Real-Time Passenger Information website:

- Real-time information through phone and internet

Needs Addressed**Demand-Response Scheduling Software:**

- Electronic reservations and manifest creation
- Optimized routing and navigation
- Demand response mileage tracking

AVA:

- Ability to make consistent system-wide 'next-stop' announcements
- Farebox, Headsigns, and Video Integration
- Automates fault info collection
 - Integrates video, time and location data

IVRS and Real-Time Passenger Information website:

- Easily accessible and accurate general information to passengers
- Frequently updated real-time information to passengers
- Increased ridership

A full copy of the TCAT ITS Study will be furnished to NYSDOT upon request.

TCAT, Inc.'s procurement phases will consist of Request for Proposals (RFP) issuance, RFP submittal review, TCAT, Inc. Board of Directors approval, Contract Award, Project Implementation, Project Management and Project Closure.

3. Budget

Source	Project Total	5311 Grant Total
FTA - 5311	\$833,898	\$833,898
FTA		
NYSDOT - 5311	\$104,237	\$104,237
NYSDOT -		
TCAT - 5311	\$104,237	\$104,237
TCAT -		
City of Ithaca	\$1,500,000	
Total	\$2,542,373	\$1,042,373

4. Milestones (Max 10 steps)

Action	Date
Specification (Phase A1)	
Development	6/29/2013
RFP Issuance	7/19/2013
Contract Award	8/22/2013
Project	
Implementation	10/1/2013
Project Close-out	10/1/2014

Action	Date
Specification (Phase A2)	
Development	6/29/2013
RFP Issuance	7/19/2013
Contract Award	8/22/2013
Project	
Implementation	10/1/2014
Project Close-out	7/1/2015

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Phase A1 Yes, Phase A2 Yes.**
3. Does Project require approvals from third parties? **Yes (TCAT, Inc. Board of Directors)**

6. Name/Signature of the Project Manager, and any third parties.

Doug Swarts
TCAT, Inc. Service Development Manager
607-277-9388 x520

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **Bus Wash Replacement Project**

2. Scope: (Project Description, need, quantity & procurement strategy)

TCAT, Inc. desires to replace an existing Bus Wash within our 737 Willow Avenue facility. TCAT, Inc.'s Bus Wash Replacement project will consist of existing Bus Wash removal and installation of a Bus Wash system desired to be adaptable for cleansing of TCAT, Inc.'s entire fleet (consisting of Buses, Transfer Cars and Service Vehicles) and considered energy conservation compliant. TCAT, Inc.'s existing Bus Wash has reached its useful life, therefore, replacement is required.

Procurement phases for TCAT, Inc.'s Bus Wash Replacement Project will consist of Request for Proposal (RFP) issuance, RFP acceptance / review, contract award, project management and project closure.

3. Budget

Source	Project Total	5311 Grant Total
FTA - 5311	\$833,898	\$833,898
FTA		
NYSDOT - 5311	\$104,237	\$104,237
NYSDOT -		
TCAT - 5311	\$104,237	\$104,237
TCAT -		
City of Ithaca	\$1,500,000	
Total	\$2,542,373	\$1,042,373

4. Milestones (Max 10 steps)

Action	Date
RFP Issuance	3/1/2013
Contract Award	5/1/2013
Project Close-out	3/1/2014

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Yes**
3. Does Project require approvals from third parties? **Yes (City Permits)**

6. Name/Signature of the Project Manager, and any third parties.

**Daniel A. Tome TCAT, Inc. Purchasing and Projects Manager
607-277-9388 x540**

Date: **12/17/2012**

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **Transit Bus Lift Project**

2. Scope: (Project Description, need, quantity & procurement strategy)

TCAT, Inc.'s Transit Bus Lift Project will consist of removal of TCAT, Inc.'s existing in-ground Bus Lifts (2) and replacement with new low ground depth Telescoping Bus Lifts (2). TCAT, Inc. requires Telescoping Bus Lifts based on reoccurring existing lift issues derived from water tables associated with our Facility's location. TCAT, Inc. is in need of 2 new Telescoping Lifts.

TCAT, Inc.'s procurement phases will consist of Invitation to Bid (IFB) issuance, IFB review, TCAT, Inc. Board of Directors approval, Contract Award, Project Management and Project Closure.

3. Budget

Source	Project Total	5311 Grant Total
FTA - 5311	\$114,800	\$114,800
FTA - 5307	\$165,200	
NYS DOT - 5311	\$14,350	\$14,350
NYS DOT - 5307	\$20,650	
TCAT - 5311	\$14,350	\$14,350
TCAT - 5307	\$20,650	
Total	\$350,000	\$143,500

4. Milestones (Max 10 steps)

Action	Date
Specifications Completed	4/1/2013
IFB Issuance	5/1/2013
Contract Award	7/1/2013
Project Completed	1/31/2014
Project Close-out	4/1/2014

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Yes**
3. Does Project require approvals from third parties? **Yes (City Permit)**

6. Name/Signature of the Project Manager, and any third parties.

Daniel A. Tome TCAT, Inc. Purchasing and Projects Manager

607-277-9388 x540

Date: 12/17/2012

Rotary Lift

NYS Contract Equipment Quotation



Quote # : 2012320

Date: 12/21/2012

Requested By: _____

Quote Expires: 1/20/2013

Payment Terms: 1/2%-15th, NET 30

Warranty: 1yr Parts & 1 yr Labor

Prepared By: Christine Bilz

*If the completion of the installation is delayed more than one month due to governmental entity delays (i.e. electrical etc.), then Rotary can request partial payment for the portion of work completed (both equipment and installation).

Note: Quote for equipment and installation.

Project Name: Tomkins Consolidated Area Transit

Model No.	Description	Unit Price Ea.	Qty.	Extended Price
MOD30-24	Modular Movable Jack Unit with 24' Track, Modular	\$ 72,157.06	1	\$ 72,157.06
	Stationary Jack Unit and Modular Unit Controls			
	Total Capacity 60,000lbs			
AK-ULP60-2	Adapter Kit for most Low Profile Transit Bus Apps	\$ 3,793.90	1	\$ 3,793.90
XXX01CTT	Installation to include labor and materials for	\$ 38,643.00	1	\$ 38,643.00
	attached scope of work			
Freight	Pre Paid By Rotary			\$ 114,593.96

GSA Contract #: GS07F8953D

Contract Period: 6/30/2013

CAGE #: 7K311

Tax ID #: 90-0501347

DUNS #: 00-638-2634

Rotary Lift

2700 Lanier Dr.

Madison, IN 47250

Christine Bilz, Government Sales Leader

800.445.5438 x5655

800.578.5438

12-21-12

Dan Tone
Tompkins Consolidated Area Transit
737 Willow Av
Ithaca NY 14850



Reference: Existing lift removal & New Rotary Mod-30 Lift installation.

Please accept the following proposal for your consideration

Rotary Lift to provide the following vehicle lift under the NYS Contract:

The MOD30 components include,

- 1) MOD30M-24 Movable Jack Unit 24'
- 1) MOD30S Stationary MOD30
- 1) MC2 Console W/ Equalized Controls
- 1) AK-ULP60-2 Adapter Kit for Low Profile Transit Bus

Scope of Work to install a MOD30:

Filtrec will remove and dispose of the existing inground lift components including, fluid piping, fluid valves, front cylinder assembly, rear plungers and superstructure. We will provide all required saw cutting, demolition and removal of the concrete floor and concrete pit for the new lift installation. The installation pricing includes, transporting and unloading of the lift, setting and hanging lift, installation of flow-able fill under lift containments, backfilling with pea stone, installation of foam board, supply and install all required structural rebar, install all required control wiring conduits from the lift console to the lift containments, pour and finish the concrete floor around lift. After concrete has properly cured we will come back and assemble all components of the Mod-30 lift, including the installation of the cylinders, power units, chain drive assembly, control panel, shutter plates, saddles and adapters.

Filtrec will perform all power feed wiring from the existing disconnect to the lift control console.

We will also supply and install a filter/regulator and the necessary air lines from the filter/regulator into the control panel, then through the in-floor conduits into the lift.

Filtrec to provide and install the hydraulic oil required for the power units.

Filtrec will program and startup lift. We will also provide the required training on the proper use and maintenance of the lift.

Labor and Materials to perform the above scope of work; \$38,643.00

This is a turnkey lift installation.

Note: Customer to have pit evacuated prior to demo work.

Pricing doesn't include the disposal of contaminated soil if encountered.

Prevailing wage rates apply.

If you have any questions please contact me at any time!

Best regards,


John Howland

5440 LOCKWOOD ROAD
AUBURN, NEW YORK 13021
(315) 253-9266 FAX: (315) 253-4458
www.filtreccorp.com

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **Purchase and Install Security Cameras at TCAT Facility**

2. Scope: (Project Description, need, quantity & procurement strategy)

This project will request proposers to Provide and Install Security Cameras throughout TCAT, Inc.'s 737 Willow Avenue facility. Security Camera installation will involve both replacement of existing cameras and installation of new camera units (with applicable computer hardware and software).

TCAT, Inc. has introduced upgraded security cameras to our camera system over the years, but intends to fill several camera voids within our facility and also replace obsolete segments of our Security Camera loop. Between replacement and new security cameras TCAT, Inc. would install 6 new security cameras and applicable integration hardware / software.

TCAT, Inc.'s Provide and Install Security Cameras project procurement process will involve a Request for Proposal (RFP), RFP Review, Contract Issuance, Project Management and Project Closure.

3. Budget

Source	Project Total	5311 Grant Total
FTA – 5311	\$6,500	\$6,500
FTA – 5307	\$9,440	
NYSDOT – 5311	\$ 820	\$ 820
NYSDOT – 5307	\$1,180	
TCAT – 5311	\$ 820	\$ 820
TCAT – 5307	\$1,180	
Total	\$20,000	\$8,200

4. Milestones (Max 10 steps)

Action	Date
RFP Issuance	6/1/2013
Contract Award	8/1/2013
Project Completed	12/1/2013
Project Close-out	2/1/2014

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Yes**
3. Does Project require approvals from third parties? **No**

6. Name/Signature of the Project Manager, and any third parties.

Daniel A. Tome, TCAT, Inc. Purchasing and Projects Manager

Date: 12/14/2012

Dispatch	Faceplate	25.00
Door # 16	HID Mini Prox (4"-5.5" read range)	182.16
Main Entrance	BadgePass Double Mag Lock 1200 lbs	680.00
	HID Mini Prox (4"-5.5" read range)	182.16
	Time Delay Interface Module	180.00

** There is an option to add two additional doors as noted in the options after the Access Control and CCTV Price Structure

4 CAMERA SYSTEM-CCTV EQUIPMENT

Camera	Description	
1	NetDVR-Base System	436.50
	to include first year software maintenance agreement	87.30
4	NetDVR-individual camera licenses, \$ 144.53 each	578.12
	to include first year software agreement, \$ 29.10 each	116.40
Camera #1	Interior Fixed dome camera with discreet and tamper resistant casing. Varifocal 3.3-12mm lens, 1 megapixel resolution and day/night functionality and wide dynamic range. Remote zoom function. Power over Ethernet.	775.03
Camera #2	Interior Fixed dome camera with discreet and tamper resistant casing. Varifocal 3.3-12mm lens, 1 megapixel resolution and day/night functionality and wide dynamic range. Remote zoom function. Power over Ethernet.	775.03
Camera #3	Interior Fixed dome camera with discreet and tamper resistant casing. Varifocal 3.3-12mm lens, 1 megapixel resolution and day/night functionality. Remote focus and zoom function. Power over Ethernet.	910.83
	Wall Bracket	96.03
Camera #4	Interior Fixed dome camera with discreet and tamper resistant casing. Varifocal 3.3-12mm lens, 1 megapixel resolution and day/night functionality. Remote focus and zoom function. Power over Ethernet.	910.83
	Wall Bracket	96.03

14 Door Access Control and 4 Camera CCTV System Cost Summary

Total Equipment:	\$	23,801.01
Implementation Materials:	\$	1,767.20
Professional Implementation Services:	\$	15,673.97
200 Qty. Standard Proximity Cards:	\$	758.00
*LINSTAR New Customer Discount Applied:	\$	(4,218.00)
Total System Investment:	\$	
One Year Annual Maintenance Agreement:	\$	3,199.03

*The customer is responsible for any permits, variances, or exceptions required by federal, state, or local municipalities. Pricing includes a ninety-day, on-site warranty on all hardware. Installation pricing includes product training, testing and system certification. Any additional installation requirements by LINSTAR technicians would constitute an add-on to this proposal.

Terms:

*NY State Contract #PT-63106 Pricing Reflected

LINSTAR is a NYS Certified Woman Owned Business Enterprise (SB/WBE)

Licensed by the NY State Department of State #12000071720

Shipping: FOB shipping point, prepaid

Delivery: 2-3 weeks after receipt of order

Payment: Net 20 days

Prices valid for 30 days

LINSTAR

SECURE ACCESS

POSITIVE ID

PROOF OF RESIDENCE

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **Employee Lounge - Locker Room Renovation**

2. Scope: (Project Description, need, quantity & procurement strategy)

TCAT, Inc. requires renovations within the Employee Lounge Locker Room area of our facility. Constructed in 1992 our existing Locker Room is constraining our ability to accommodate an increased labor force. New construction will increase the number of Lockers and will – through room redesign – effectively utilize existing space.

TCAT, Inc.'s Employee Lounge Locker Room Renovation procurement process will involve a Request for Proposal (RFP), RFP Review, Contract Issuance, Project Management and Project Closure.

3. Budget

Source	Project Total	5311 Grant Total
FTA – 5311	\$8,200	\$8,200
FTA – 5307	\$11,800	
NYSDOT – 5311	\$1,025	\$1,025
NYSDOT – 5307	\$1,180	
TCAT – 5311	\$1,025	\$1,025
TCAT – 5307	\$1,180	
Total	\$25,000	\$10,250

4. Milestones (Max 10 steps)

Action	Date
RFP Issuance	2/29/2013
Contract Award	4/29/2013
Project Completed	10/31/2013
Project Close-out	12/31/2013

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Yes**
3. Does Project require approvals from third parties? **Yes (City Permit).**

6. Name/Signature of the Project Manager, and any third parties.

Daniel A. Tome, TCAT, Inc. Purchasing and Projects Manager

607-277-9388 X540

Date: 12/14/2012



McPherson Builders, Inc.

129 West Falls St, Ithaca, NY 14850

Voice 607-273-2373

FAX 607-273-4908

August 5, 2009

To: TCAT
737 Willow Ave
Ithaca, NY 14850-3214

Attn: Daniel A Tome

Re: Quote for Men's and Women's locker room renovations

PROPOSAL

We propose to provide all necessary Equipment, Labor, and Materials to:

- 1) Remove two existing doors and tooth in a CMU infill, install new vinyl base to match existing
- 2) Sawcut and demo two new 36" by 84" hollow metal cased openings
- 3) Construct two new cmu walls across end of new changing rooms w/ the reused doors and frames removed from the hallway, including ceiling rework around top of new wall
- 4) Remove large lockers on one wall in each room, and install new smaller lockers
- 5) Paint hallway wall with both infilled areas, paint walls of the interior of both locker rooms, paint relocated doors and new frames
- 6) Electrical:
 - a) Remove one receptacle located in the new proposed opening
 - b) Relocate electrical switch to side of new opening from existing entry
 - c) Install new switch and light in changing room

Non-Prevailing rate Price = \$15,839.00

Prevailing wage rate Price = \$20,522.30

Exclusions: architectural or engineering fees, sprinkler work if required, flooring, relocation of control panel

Our quote includes full insurance coverage including workers compensation, and complete cleanup of debris resulting from the work we performed unless noted otherwise in this proposal.

Our proposal is only valid for 30 days. Our payment terms are net due at completion of the work. Accounts not paid in ten days will be charged a service charge of 1½% per month until paid.

Thank you for the opportunity to quote on this project. Please call if you have any questions.
Yours truly,

Tompkins County Grant Management Project Initiation Plan

1. Project Name: **TCAT, Inc. Kitchen Renovation Project**

2. Scope: (Project Description, need, quantity & procurement strategy)

TCAT, Inc.'s Kitchen Renovation Project is inclusive of Kitchen areas within our Employee Lounge and Administrative Wing of the 737 Willow Avenue facility. Both aforementioned areas are part of the original facility floor plan (1992) and have remained unaltered even as our workforce has continued to expand. TCAT's Kitchen Renovation Project will involve removal of antiquated cabinetry, fixtures and floor coverings in an attempt to upgrade and access additional kitchen space.

TCAT, Inc.'s procurement process will involve Request for Proposal (RFP) issuance, RFP review, TCAT, Inc. Board of Directors approval, Contract Award, Project Management and Project Closure.

3. Budget

Source	Project Total	5311 Grant Total
FTA – 5311	\$8,200	\$8,200
FTA – 5307	\$11,800	
NYS DOT – 5311	\$1,025	\$1,025
NYS DOT – 5307	\$1,180	
TCAT – 5311	\$1,025	\$1,025
TCAT – 5307	\$1,180	
Total	\$25,000	\$10,250

4. Milestones (Max 10 steps)

Action	Date
Specifications Completed	5/1/2013
RFP Issuance	6/1/2013
Contract Award	8/1/2013
Project Completed	11/30/2013
Project Close-out	1/31/2014

5. Readiness Review:

1. Will the project be procured in 2013 or 2014? **2013**
2. Will the project be completed by 12/31/2015? **Yes**
3. Does Project require approvals from third parties? **Yes (City Permit).**

6. Name/Signature of the Project Manager, and any third parties.

Daniel A. Tome, TCAT, Inc. Purchasing and Projects Manager

607-277-9388 X540

Date: 12/14/2012

FLEET COMPOSITION

APPLICANT	Tompkins County
OPERATOR 1	Tompkins Consolidated Area
OPERATOR 2	Cornell Cooperative Extension

OPERATOR 3	
OPERATOR 4	

Please complete this spreadsheet showing the number of vehicles being used for each type of service at the present time.

NOTE: ADA Paratransit is operated by GADABOUT Transportation Services, Inc. under contract to TCAT.

<u>Fixed Route</u>		<u>Fleet Composition</u>	<u>DEFINITION</u>
I. Active Fleet			The term "fixed route system" means a system of providing designated public transportation on which a vehicle is operated along a prescribed route according to a fixed schedule.
Peak Requirement		43	
Spare		8	
Total		51	
Spare Factor		18.60%	
II. Inactive Fleet			<p>PLEASE NOTE: IF YOU PROVIDE FIXED ROUTE SERVICE THEN YOU MUST ALSO PROVIDE COMPLEMENTARY PARATRANSIT (SEE BELOW).</p>
Reserve			
Pending Disposal		2	
III. Total Fixed Route		53	

<u>Complementary Paratransit</u>		<u>Fleet Composition</u>	<u>DEFINITION</u>
I. Active Fleet			Transportation service required by the Americans with Disabilities Act (ADA) for individuals with disabilities who are unable to use fixed route transportation systems. This service must be comparable to the level of service provided to individuals without disabilities who use the fixed route system. The complementary services must be origin-to-destination service and offered at minimum of 3/4 mile off the fixed route.
Peak Requirement		20	
Spare		5	
Total		25	
Spare Factor		25.00%	
II. Inactive Fleet			
Reserve			
Pending Disposal		3	
III. Total Paratransit		28	

<u>Route Deviation</u>		<u>Fleet Composition</u>	<u>DEFINITION</u>
I. Active Fleet			A type of transit service that operates as conventional fixed route bus service along a fixed alignment or path with scheduled time points at each terminal point and key intermediate locations. Route deviation service is different than conventional fixed route bus service in that the bus may deviate from the route alignment to serve destinations within locally defined distance (e.g., 3/4 mile) off the route. Following an off route deviation, the bus must return to the point on the route it left.
Peak Requirement			
Spare			
Total		0	
Spare Factor		0	
II. Inactive Fleet			
Reserve			
Pending Disposal			
III. Total Route Deviation		0	

<u>Demand Responsive</u>		<u>Fleet Composition</u>	<u>DEFINITION</u>
I. Active Fleet			Demand Response (also called paratransit or dial-a-ride) is comprised of vans or buses operating in response to calls from passengers or their agents to the transit operator, who then dispatches a vehicle to pick up the passengers and transport them to their destinations. Pick-ups must be generated no later than close of business the day prior to the ride.
Peak Requirement		3	
Spare		1	
Total		4	
Spare Factor		33.33%	
II. Inactive Fleet			
Reserve			
Pending Disposal			
III. Total Demand Responsive		4	

<u>Commuter/Intercity</u>		<u>Fleet Composition</u>	<u>DEFINITION</u>
I. Active Fleet			Regularly scheduled public service using an over-the-road bus that operates with limited stops between two urbanized areas or that connects rural areas to an urbanized area.
Peak Requirement			
Spare			
Total		0	
Spare Factor		0	
II. Inactive Fleet			
Reserve			
Pending Disposal			
III. Total Commuter/intercity		0	

System Totals		85
---------------	--	----

EXHIBIT 1

DOCUMENTATION OF PUBLIC PARTICIPATION AND COORDINATION REQUIREMENTS

Sponsor: Tompkins County

Complete and submit this checklist along with documentation to insure requirements for public participation have been met.

X	Completed description of Section 5311 Coordination Efforts With Locally Developed, Coordinated Public Transit Human Services Transportation Plans (Bottom half of page)
X	Copy of Public Notice paid advertisement(s)
X	List of 1) private bus and taxi companies, 2) public transportation operators, and 3) human service agencies to whom Public Notice was sent
	Copies of comments, proposals, or other communications received in response to the Public Notice or paid ad from operators, the public, human service agencies or any other respondents
	Copies of your responses to any comments or proposals received and any follow-up documentation, including a hearing record (if one was held)
	If no comments were received, the following certification should be signed:

I certify, on behalf of the applicant, that no comments or responses to the Public Notice were received.

Name: Dwight Mengel

Title: Chief Transportation Planner, DSS

Signature:

Date:

SECTION 5311 COORDINATION EFFORTS WITH LOCALLY DEVELOPED, COORDINATED PUBLIC TRANSIT HUMAN SERVICES TRANSPORTATION PLANS

COORDINATION. Three Federal Transit Administration (FTA) formula programs—Elderly Individuals and Individuals with Disabilities Program (Section 5310), Job Access and Reverse Commute (JARC) (Section 5316), and New Freedom (Section 5317)—require that projects must be derived from a locally developed, public transit-human services transportation plan. FTA requires public transit systems funded under the Section 5311 formula program to participate in the local planning process for coordinated public transit-human service transportation in those areas applying for funds under Sections 5310, 5316, or 5317.

Beyond the specific coordinated planning requirements for the three specialized FTA programs listed above, Section 5311 also requires coordination with transportation assistance under other Federal programs. The Section 5311 program of projects must provide "the maximum feasible coordination of public transportation service [assisted under Section 5311] with transportation assisted by other Federal sources."

Describe how the municipality and/or the transit system are participating in the local planning process:

TCAT fully participates in Tompkins County's Coordinated Public Transit - Human Service Transportation Plan process, under jurisdiction of the Ithaca-Tompkins County Transportation Council (ITCTC). TCAT is a member of ITCTC's Policy & Planning Committees. TCAT, County & ITCTC staff have regular coordination meetings. TCAT, County and other agencies participate in monthly Way2Go's mobility management planning meetings. TCAT is an active participant in the Seven County Transportation Plan process currently underway. (See NY-95-X017)

What is the status of the current Plan and has an update occurred in your geographic area? If so, please submit an electronic copy.

ITCTC amends the Coordinated Plan annually with a revised list of priority projects, following a public solicitation process. The Plan is posted at <http://tccordinatedplan.weebly.com/the-plan.html>. With the advent of MAP-21, the Committee agreed to re-assessing all aspects of the coordinated plan process using United We Ride's Framework for Action tool to improve the planning process and to focus on locally controlled coordination opportunities. A Plan update will come from this process. The Update began in Nov 2012 and will continue until July 2013. The recently completed ITNEverywhere assessment report will be integrated in the Update.

What efforts are made to coordinate services with human service or other agencies in your geographic area?

We have a full spectrum family of mobility services unique for Upstate NY. But, we have significant coordination gaps including: the lack of travel training options for the general public, who are not clients of specific agencies; affordable rides to regional medical centers, improve veterans transportation, & creating partnerships with regional human service agencies to coordinate transportation services. So we are aware of our service gaps and will create a strategy to address them in the updated plan.

If further space is needed please attached additional documents to this form.

Section 5311 Rural Transit Formula Grant

Tompkins County is applying to the New York State Department of Transportation for federal financial assistance for capital projects (shown below) to provide public transportation services, for fixed-route, rural demand response and mobility management, in Tompkins County, NY.

Final Year 2013-14 Section 5311 Rural Transit Formula Program of Projects

TCAT Projects	Federal	NYSDOT	TCAT	TOTAL
Two Replacement 40 ft. Transit buses	\$ 704,000	\$ 88,000	\$ 88,000	\$ 880,000
Four Under 30 ft. buses	\$ 368,000	\$ 46,000	\$ 46,000	\$ 460,000
Bus Shelters	\$ 55,400	\$ 6,925	\$ 6,925	\$ 69,250
TCAT Facility Renovation: purchase & install bus washer, (3) bus lifts, security cameras, and renovate locker rooms and kitchen.	\$ 334,560	\$ 41,820	\$ 41,820	\$ 418,200
Information Technology & ITS Project	\$ 833,898	\$ 104,2237	\$ 104,237	\$ 1,042,373
TCAT Subtotal	\$ 2,295,858	\$ 286,982	\$ 286,982	\$ 2,869,823
County Project	Federal	NYSDOT	CCETC	TOTAL
Cornell Cooperative Extension of Tompkins County, Inc.: JARC Mobility Management - Way2Go Community Mobility Education	\$ 325,000	\$ 40,625	\$ 40,625	\$ 406,250
TOTAL	\$ 2,620,858	\$ 327,607	\$ 327,607	\$ 3,276,073

Transportation services will be available to the general public during all hours of operation. Funding sources include Federal Section 5311 public transportation funds, New York State, Tompkins Consolidated Area Transit, Inc. (TCAT) and Cornell Cooperative Extension of Tompkins County, Inc. (CCETC). Total federal share is expected to be \$2,620,858.

Comments on the proposed projects are invited from the general public, private bus and taxi companies, other public transportation providers and human service agencies. Interested parties may comment on the project or obtain more details about the project by contacting:

Dwight Mengel, Chief Transportation Planner
Tompkins County Department of Social Services
320 W. State St, Ithaca, NY 14850
(607) 274-5605 voice, 274-5666 fax
dwight.mengel@dfa.state.ny.us

Human service agencies that receive assistance from other Federal agencies for non-emergency transportation services are encouraged to participate and coordinate with the provider in planning, design, and delivery of

transportation services. Agencies may obtain copies of service descriptions and submit proposals for service coordination. Private bus and taxi operators may request copies of the project service description from the above named person and may submit comments on the service as described.

If you wish to comment on any aspect of the proposed project, submit a proposal, a request for service coordination or to request for a public hearing, you must make your interest known in writing within **ten (10) days** of the date of this publication and provide your comments within ten days of the date of your receipt of the project service description. Your requests and/or comments must be forwarded to the above person. The Program of Projects shown above will be considered final unless they are amended and re-published.

EXHIBIT 1
Documentation

The Public Notice was sent to the following 1) private bus & taxi companies, 2) public transportation operators and 3) human service agencies:

1) Private Bus & Taxi Companies

- Short Line Bus Company, 4 Leisure Lane, Mahwah, NJ 07430
- Greyhound Lines, Inc., PO Box 660362, Dallas, TX 75266
- Capital Trailways, 3554 Chain Bridge Road #202 Fairfax, VA 22030
- Ithaca Dispatch, Inc., 400 Spencer Rd, Ithaca, NY 14850
- Collegetown Cab, 312 4th Street Ithaca, NY 14850

2.) Public Transportation Operators

- CTRAN, First Transit, 1201 Clemens Center Parkway, Elmira, NY 14901
- Cortland Transit, 21 Squires Street Cortland, NY 13045
- RideTioga, 54 Central Avenue Owego, NY 13827

3) Human Service Agencies

- Tompkins County Department of Social Services, 320 W. State St, Ithaca, NY
- Tompkins County Office for Aging, 320 N Tioga St, Court House Basement, Ithaca, NY
- Tompkins Community Action, 701 Spencer Road, Ithaca NY 14850 (includes Head Start)
- GADABOUT Transportation Services, Inc., 737 Willow Ave, Ithaca, NY 14850
- Challenge Industries, Inc. , 950 Danby Road, Suite 179, Ithaca NY 14850
- Lifelong, 119 W. Court St., Ithaca, NY 14850
- Finger Lakes Independence Center, 215 5th Street, Ithaca NY 14850

Further, the Public Notice was emailed to 3,000 recipients of the Human Services Coalition of Tompkins County listserve and is published on-line at <http://tccoordinatedplan.weebly.com/public-notices.html>

EXHIBIT 2

FEDERAL FISCAL YEAR 2011 CERTIFICATIONS AND ASSURANCES FOR TRANSIT ASSISTANCE PROGRAMS

Tompkins County

Applicant

**PLEASE
READ**FEDERAL FISCAL YEAR 2011 CERTIFICATIONS AND ASSURANCES LINK BELOW
By signing below you are certifying you have read these Certifications and Assurances
<http://fta.eamwcb.fta.dot.gov/Static/CertsAndAssurance2012-Certs-TEAM.pdf>

The Applicant agrees to comply with applicable provisions of Categories 1 - 24 below (If so, check to the right).

☒

The Applicant agrees to comply with applicable provisions of the Categories it has selected:

- | | Description | |
|----|--|--------------------------|
| 1 | Assurances Required For Each Applicant. | <input type="checkbox"/> |
| 2 | Lobbying. | <input type="checkbox"/> |
| 3 | Procurement Compliance. | <input type="checkbox"/> |
| 4 | Protections for Private Providers of Public Transit. | <input type="checkbox"/> |
| 5 | Public Hearing. | <input type="checkbox"/> |
| 6 | Acquisition of Rolling Stock for Revenue Service. | <input type="checkbox"/> |
| 7 | Acquisition of Capital Assets by Lease. | <input type="checkbox"/> |
| 8 | Bus Testing. | <input type="checkbox"/> |
| 9 | Charter Service Agreement. | <input type="checkbox"/> |
| 10 | School Transportation Agreement. | <input type="checkbox"/> |
| 11 | Demand Responsive Service. | <input type="checkbox"/> |
| 12 | Alcohol Misuse and Prohibited Drug Use. | <input type="checkbox"/> |

- | | Description | |
|----|---|--------------------------|
| 13 | Interest and Other Financing Costs. | <input type="checkbox"/> |
| 14 | Intelligent Transportation Systems. | <input type="checkbox"/> |
| 15 | Urbanized Area Formula Program. | <input type="checkbox"/> |
| 16 | Clean Fuels Grant Program. | <input type="checkbox"/> |
| 17 | Elderly and Individuals with Disabilities Formula Program | <input type="checkbox"/> |
| 18 | Nonurbanized Area Formula Program for States. | <input type="checkbox"/> |
| 19 | Job Access and Reverse Commute Program. | <input type="checkbox"/> |
| 20 | New Freedom Program. | <input type="checkbox"/> |
| 21 | Paul S. Sarbanes Transit in Parks Program. | <input type="checkbox"/> |
| 22 | Tribal Transit Program. | <input type="checkbox"/> |
| 23 | Infrastructure Finance Projects. | <input type="checkbox"/> |
| 24 | Deposits of Federal Financial Assistance | <input type="checkbox"/> |

AFFIRMATION OF APPLICANT**Tompkins County**

Applicant

JOE MAREAU, County Administrator

Name and Relationship of Authorized Representative

BY SIGNING BELOW, on behalf of the Applicant, I declare that the Applicant has duly authorized me to make these certifications and assurances and bind the Applicant's compliance. Thus, the Applicant agrees to comply with all Federal statutes and regulations, and follow applicable Federal directives, and comply with the certifications and assurances as indicated at the link above, as applicable to each application it makes to the Federal Transit Administration (FTA) in Federal Fiscal Year 2011.

FTA intends that the certifications and assurances the Applicant selects, as representative of the certifications and assurances in this document, should apply, as provided, to each project for which the Applicant seeks now, or may later, seek FTA assistance during Federal Fiscal Year 2011

The Applicant affirms the truthfulness and accuracy of the certifications and assurances it has made in the statements submitted herein with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. 3801 et seq., and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31 apply to any certification, assurance or submission made to FTA. The criminal fraud provisions of 18 U.S.C. 1001 apply to any certification, assurance, or submission made in connection with a Federal public transportation program authorized in 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Signature: 

Date: 12/27/11

AFFIRMATION OF APPLICANT'S ATTORNEY

(Each Applicant for financial assistance and an active project must provide an Affirmation of Applicant's Attorney pertaining to legal capacity.)

Tompkins County

Applicant

Jonathan Wood

Name of Attorney for Applicant

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under State, local, or tribal government law, as applicable, to make and comply with the certifications and assurances as indicated at the link above. I further affirm that, in my opinion, the certifications and assurances have been legally made and constitute legal and binding obligations on the Applicant.

I further affirm to the Applicant that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these certifications and assurances, or of the performance of the project.

Signature: 

Date: 12/27/12

THIRD PARTY SIGNATURE (IF APPLICABLE)

Operator 1	Tompkins Consolidated Area Transit, Inc.
Operator 2	Cornell Cooperative Extension of Tompkins County.
Operator 3	
Operator 4	

Third Party Applicant(s)

Operator 1	Alice A Eccleston Assistant GM & HR Manager
Operator 2	KENNETH SCHLATHER EXECUTIVE DIRECTOR
Operator 3	
Operator 4	

Name and Relationship of Authorized Representative(s)

BY SIGNING BELOW, on behalf of the Applicant, I declare that the Applicant has duly authorized me to make these certifications and assurances and bind the Applicant's compliance. Thus, the Applicant agrees to comply with all Federal statutes and regulations, and follow applicable Federal directives, and comply with the certifications and assurances as indicated at the link above, applicable to each application it makes to the Federal Transit Administration (FTA) in Federal Fiscal Year 2011

FTA intends that the certifications and assurances the Applicant selects, as representative of the certifications and assurances in this document, should apply, as provided, to each project for which the Applicant seeks now, or may later, seek FTA assistance during Federal Fiscal Year 2011.

The Applicant affirms the truthfulness and accuracy of the certifications and assurances it has made in the statements submitted herein with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. 3801 et seq., and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31 apply to any certification, assurance or submission made to FTA. The criminal fraud provisions of 18 U.S.C. 1001 apply to any certification, assurance, or submission made in connection with a Federal public transportation program authorized in 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Operator 1: 

Date: 12/26/12

Operator 2: 

Date: 12/26/12

Operator 3: _____

Date: / /

Operator 4: _____

Date: / /

EXHIBIT 3

Section 5333(b) Documentation and Agreement by Recipient to Terms and Conditions of the Special Section 5333(b) Warranty

Applicant Information

Tompkins County
125 E Court Street
Ithaca, NY 14850

Project Description

Operating Assistance	2013 (2013-14)	
Operating Assistance	2014 (2014-15)	
Capital Assistance	2013 (13-14)- 2014 (14-15)	X

**Project
Service
Area**

Tompkins County

List all current operators of public transportation (including your system), intercity operators, and corresponding labor organizations (if applicable) representing the employees of the providers in the above service area that are eligible or potentially eligible recipients of federal Section 5311 funding assistance, even if they are not currently recipients. Exclude human service agency providers from this list unless they provide transportation to the general public; exclude taxi operators unless they provide shared-ride transportation on a regular and continuing basis.

Public Transportation Operators	Labor Organizations (Enter or Select Union from right, or enter N/A)	Intercity Unions (For Reference Only)	
		Operator	Union
Tompkins Consolidated Area Transit, Inc.	UAW	Adirondack	UTU
GADABOUT Transportation Services, Inc.	N/A	Birmie Bus Tours/Service	200 United
Tioga Transport, Inc.	N/A	Coach USA/Bluebird	ATU
First Transit/NCE	Teamsters	Bonanza Bus	ATU
Shortline/Coach USA	TWU	Capitol Trailways	ATU
Greyhound	ATU	Chenango Valley Bus Lines	ATU
Capital Trailways	ATU	First Transit/NCE	Teamsters
		Fullington Trailways	No Union
		Greyhound	ATU
		Lake Front Trailways	No Union
		Passenger Bus/NY Trailways	Teamsters
		Peter Pan Bus Lines	ATU
		Pinehill Trailways	UTU
		Shortline/Coach USA	TWU
		Megabus	Production Workers Union

Name and Address of Recipient of Section 5311 Project Funds if the Applicant (the municipality) is also the Operator of the public transportation service, then the municipality name and address should be listed below. If a third party is the Operator of the public transportation service, then either the municipality or the third party operator may be designated as the Recipient, at the discretion of the municipality.

Name:	Tompkins County
Address:	125 E. Court St. Ithaca, NY

Certification I certify for the Recipient that, with respect to the subject Section 5311 project, I have reviewed the Special Section 5333(b) Warranty for the Section 5311 program including the Model Agreement which is a part thereof, and with full knowledge of the terms and conditions of said Warranty and Model Agreement, I certify that the Recipient agrees to comply with the terms and conditions of said Warranty and Model Agreement for the duration of the Section 5311 project and, further, that these terms and conditions will be a part of any and all agreements and contracts between or among the Federal Government, the State of New York, the Project Applicant and the Recipient, entered into with respect to the subject Section 5311 project.

Also, I acknowledge that, for the purposes of the Special Section 5333(b) Warranty for the Section 5311 Program, the State of New York is neither the legally nor financially responsible party under the Special Warranty, and the State assumes no special obligations under the Special Warranty that are not otherwise part of its normal obligations as a grant administering agency.

Name: Joe Mareane
 Title: County Administrator
 Signature: [Signature]
 Date: 12/27/12

EXHIBIT 4**Title VI/DBE Activities Assurance****Applicant Information**

Tompkins County
125 E Court Street
Ithaca, NY 14850

Project Description

Operating Assistance	2013 (2013-14)	
Operating Assistance	2014 (2014-15)	
Capital Assistance	2013 (13-14)- 2014 (14-15)	

Title VI Update

- 1) The above named applicant certifies to filing with NYSDOT the FTA Certifications and Assurances for FTA Assistance, including the Nondiscrimination Assurance (included in this application as Exhibit 2).
- 2) In the past three (3) years, has any investigation, lawsuit or complaint alleging discrimination been filed against the applicant or any sub-recipient? If yes, please attach a concise description and outcome. ☐ Yes ☒ No
- 3) In the past three (3) years, have any Civil Rights Compliance Review Activities or investigations been conducted? If yes, please attach a summary. ☐ Yes ☒ No
- 4) Does your agency have a Title VI Plan? If yes, provide documentation ☒ Yes ☐ No
- 5) Does your agency have Complaint Procedures? If yes, provide documentation ☒ Yes ☐ No
- 6) Does your agency have a Notification and Public Outreach process? If yes, provide documentation ☒ Yes ☐ No

FTA Civil Rights Guidance Link:

http://www.fta.dot.gov/civil_rights.html

New York State**Department of Transportation
Civil Rights Program & Guidance**

<https://www.dot.ny.gov/main/business-center/civil-rights/title-vi-ej>

If you answered no to question 4 and wish to adopt NYSDOT's Civil Rights Statement and Complaint Procedures please contact your representative for further information and next steps.

DBE Update**DBE Guidance Link:**

<http://www.fta.dot.gov/civilrights/12889.html>

The FTA requires NYSDOT and its sub-recipients to report DBE contracting activities for FTA funded planning, capital and/or operating assistance projects. NYSDOT sub-recipients receiving \$250,000 or more in capital, planning or operating assistance (exclusive of transit vehicle purchases) within the same fiscal year contract period must submit semi-annual reports on its DBE contracting activities to NYSDOT.

Sub-recipients who receive less than \$250,000 in combined capital and operating assistance within the same fiscal year contract period must submit annual reports on its DBE contracting activities.

Submit the above required reports to your Transit Liaison at: Public Transportation Bureau, 50 Wolf Road, POD 54, Albany, New York 12232


FTA reserves the right to require quarterly reports from a state or sub-recipient which fails to achieve its approved goal or which is implementing a major construction project.

Local recipients who receive less than the \$250,000 threshold amount for both capital and operating and therefore are not required to submit a DBE program, must make "good faith efforts" to insure the maximum utilization of DBE pursuant to Section 26.53 of the DOT regulation. Local recipients also have the obligation to track and report DBE participation levels achieved, including sufficient documentation in the recipient's, sub-recipient's, or contractor's files to substantiate efforts undertaken to meet these obligations annually.

At a minimum, the "good faith efforts" include:

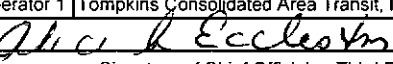
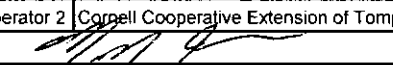
- 1) Identification of all contracting opportunities, if any, associated with the FTA assistance. The overall project budget might serve as the overall source document for this step.
- 2) Analysis of DBE availability (known and projected) to provide the products or services identified for contracting at either the prime or subcontract levels. This step includes making contact with organizations which may be expected to have information regarding availability including at a minimum, the Small Business Administration and the Minority Business Development Agency (U.S. Department of Commerce).
- 3) Establishment of contract goals for all FTA-assisted contracts based upon the availability analysis.
- 4) Use of good faith efforts to achieve contract goals established. Good faith efforts criteria are located in 49 CFR Part 26, Appendix A.
- 5) Use of DBE firms certified under the eligibility criteria of 49 CFR Part 26.
- 6) Recordkeeping and reporting sufficient for verification of steps taken and participation achieved.

CERTIFICATION

	County Administrator	12/17/12
Signature of Chief Elected or Designated Official	Title	Date

IF APPLICABLE: THIRD PARTY REQUIRED SIGNATURE

The "Third Party Contractors" hereby certifies, as a condition of receiving federal assistance for the above project under Section 5311, of Title 49, United States Code, that compliance is required with Exhibit 4, Title VI/DBE Activities Assurance, and further certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. Sections 3801 et seq. are applicable.

Operator 1	Tompkins Consolidated Area Transit, Inc.		Assistant GM & HR Manager	12/26/12
		Signature of Chief Official or Third Party Contractor 1	Title	Date
Operator 2	Cornell Cooperative Extension of Tompkins County		EXECUTIVE DIRECTOR	12/26/12
		Signature of Chief Official or Third Party Contractor 2	Title	Date
Operator 3				
		Signature of Chief Official or Third Party Contractor 3	Title	Date
Operator 4				
		Signature of Chief Official or Third Party Contractor 4	Title	Date

ADOPTED

Resolution No. 2012-261

Authorizing Federal Transit Administration Section 5311 Rural Capital Application for Tompkins Consolidated Area Transit, Inc., and Tompkins County for 2013-14

WHEREAS, the New York State Department of Transportation (NYSDOT) has requested capital project proposals eligible to use federal rural transit funding be submitted by counties, cities, and Indian reservations for 2013 and 2014, and

WHEREAS, Tompkins Consolidated Area Transit, Inc., (TCAT, Inc.) rural transit routes serve the Towns of Lansing, Danby, Ithaca, Groton, Lansing, Newfield, Enfield, Dryden, Caroline, and the City of Ithaca in Tompkins County, and

WHEREAS, Tompkins County is submitting a request for a consolidated grant of funds to the NYSDOT, pursuant to Section 5311, Title 49, United States Code, for the following capital projects:

TCAT Projects	Federal	NYSDOT	TCAT	TOTAL
Two Replacement 40 ft. Transit buses	\$ 704,000	\$ 88,000	\$ 88,000	\$ 880,000
Four Under 30 ft. buses	\$ 368,000	\$ 46,000	\$ 46,000	\$ 460,000
Bus Shelters	\$ 55,400	\$ 6,925	\$ 6,925	\$ 69,250
TCAT Facility Renovation	\$ 334,560	\$ 41,820	\$ 41,820	\$ 418,200
ITS Project	\$ 833,898	\$104,2237	\$ 104,237	\$1,042,373
TCAT Subtotal	\$2,295,858	\$286,982	\$ 286,982	\$2,869,823
County Project	Federal	NYSDOT	CCETC	TOTAL
JARC Mobility Management Way2Go (2013-2014)	\$ 325,000	\$ 40,625	\$ 40,625	\$ 406,250
TOTAL	\$2,620,858	\$327,607	\$ 327,607	\$3,276,073

WHEREAS, the Project funding sources are as follows:

FTA Sec 5311	\$2,620,858
NYSDOT	\$ 327,607
Local	\$ 327,607
Total	\$3,276,073

, and

WHEREAS, Tompkins County and the State of New York are entering into an Agreement which authorizes the undertaking of the Project and payment of Federal and State shares of the project and the local shares which shall be provided by TCAT and Cornell Cooperative Extension of Tompkins County, Inc., now therefore be it

RESOLVED, on recommendation of the Planning, Development, Environmental Quality, and the Health and Human Services Committees, That the Tompkins County Legislature authorizes said

Resolution No. 2012-261 Authorizing Federal Transit Administration Section 5311 Rural Capital Application for Tompkins Consolidated Area Transit, Inc., and Tompkins County for 2013-14

application, with a total amount of \$3,276,073, and agreement for this project and that the County Administrator, or his designee, is authorized to sign the application and to sign:

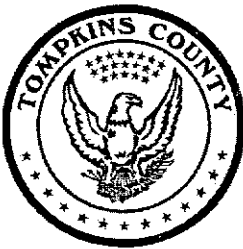
- . Any and all Agreements between Tompkins County and the State of New York for the above named projects.
- . Any and all Agreements between Tompkins County and any third party subcontractors necessary to complete the projects.

SEQR ACTION: TYPE II-20

RESULT:	ADOPTED BY CONSENT VOTE [UNANIMOUS]
MOVER:	Frank Proto, Member
SECONDER:	Leslyn McBean-Clairborne, Member
AYES:	Burbank, Chock, Dennis, Kiefer, Lane, Mackesey, McBean-Clairborne, McKenna, Proto, Pryor, Robertson, Robison, Shinagawa, Stein
EXCUSED:	Kathy Luz Herrera

STATE OF NEW YORK)
) ss:
COUNTY OF TOMPKINS)

I hereby certify that the foregoing is a true and correct transcript of a resolution adopted by the Tompkins County Legislature on December 18, 2012.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the said Legislature at Ithaca, New York, on December 28, 2012.

Catherine Coret, Clerk
Tompkins County Legislature

APPENDIX C

NOTARIZATION FORM

STATE OF NEW YORK

COUNTY OF

Tompkins

On this 27 day of December in the year 2012 before me personally came

Joe Mareane to me known, who, being by me duly sworn did depose and say that s/he resides at Ithaca, New York; that s/he is the County Administrator of the Municipal Corporation described in and which executed the above instrument; that s/he knows the Seal of said Municipal Corporation; that the Seal affixed to said instrument is such Corporate Seal; that it was affixed by order of the Board of said Municipal Corporation pursuant to a resolution which was duly adopted on _____ and to which a certified copy is attached and made a part hereof; and that s/he signed his name thereto by like order.

Erin C. Murphy
Notary Public

Erin C. Murphy
Notary Public, State of New York
Qualified in Tompkins County
No. 019415074218
Commission Expires March 10, 2015