**Proposed DBE Goal**

**Tompkins County, NY (Grantee)**

**Tompkins Consolidated Area Transit, Inc. (Subrecipient)**

**Gadabout Transportation Services, Inc. (Subrecipient)**

**DBE Goal Setting Process for Federal Transit Administration Projects**

**Section 26.45:  Overall Goal Calculation**

**Federal Fiscal Years 2020 through 2022**

**I. Introduction**

For Federal fiscal years 2020 through 2022 (October 1, 2019 to September 30, 2021), Tompkins County (the County) will administer grants from the Federal Transit Administration (FTA).  The County oversees two subrecipients, Tompkins Consolidated Area Transit, Inc. (TCAT) and Gadabout Transportation Services, Inc. The County’s Disadvantaged Business Enterprise (DBE) goal has been developed for contracting opportunities assisted by the (FTA) for that period.  The goal has been developed in accordance with 49 Code of Federal Regulations (CFR) Part 26, issued by the U.S. Department of Transportation (DOT), and with further guidance from the FTA.  The methodology for the goal analysis is presented in the narrative report below.

**II. Amount of Goal**

County/TCAT’s overall goal for FFY 2020 through FFY 2022 is the following:  **1.37%** of the Federal

Financial assistance we will expend in FTA‐assisted contracts, exclusive of FTA funds to be used for the

purchase of transit buses.

**III. Process**

The methodology to calculate the County’s overall annual DBE goal is the process described in 49

CFR Part 26.  The process is to identify the work (by NAICS) to be performed on capital, planning and operating assistance contracts to be awarded within the triennial period (FFY2020‐2022).  A weighted base goal is then calculated, reflecting the relative availability of certified DBE firms as a subset of all businesses within a seven-county market area. The market area includes: Broome, Tioga, Chemung, Tompkins, Cortland, Cayuga and Onondaga Counties.

**Step 1:  Establishing the Base Goal**

**Data Used for Analysis**

**A. Contracts assisted by FTA:**  Forty-four FTA‐funded procurements or contracts are expected for award during the three-year period. Four capital projects, [[1]](#footnote-1) one planning project and thirty-nine operating assistance procurements.

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|  | **NAICS Code** | **FTA Project** | **Description of Work** | **Amount of DOT funds on project:** |
| 1 | 441110 | Capital | (3) autos, (1) Shop Truck, (1) Cargo Van - TCAT | $ 113,501 |
| 2 | 541511 | Capital | ITS Operations Technology - Gadabout | $ 560,000 |
| 3 | 333318 | Capital | Oil-Water Separator - TCAT | $ 69,758 |
| 4 | 335999 | Capital | Mobile Generator & Charger - TCAT | $ 336,651 |
| 5 | 541620 | Planning | Short term Transit Planning Environmental Review - TCAT | $ 276,400 |
| 6 | 541211 | Operating - TCAT | Accounting Service | $ 19,875 |
| 7 | 541810 | Operating - TCAT | Advertising | $ 39,554 |
| 8 | 541211 | Operating - TCAT | Audit Service | $ 18,000 |
| 9 | 561740 | Operating - TCAT | Bus cleaning service | $ 35,175 |
| 10 | 424120 | Operating - TCAT | Copier supplies/repairs | $ 15,632 |
| 11 | 561990 | Operating - TCAT | Document shredding | $ 1,997 |
| 12 | 238210 | Operating - TCAT | Electrical work | $ 21,665 |
| 13 | 621999 | Operating - TCAT | Employee drug testing | $ 1,652 |
| 14 | 238290 | Operating - TCAT | Facility Cleaning | $ 2,183 |
| 15 | 561621 | Operating - TCAT | Facility Fire Alarm Service | $ 9,467 |
| 16 | 238220 | Operating - TCAT | Facility HVAC Maintenance | $ 36,096 |
| 17 | 238990 | Operating - TCAT | Facility Repairs - Concrete Floor | $ 49,018 |
| 18 | 238290 | Operating - TCAT | Facility Repairs - Overhead Doors | $ 14,754 |
| 19 | 238910 | Operating - TCAT | Facility Repairs - Drainage | $ 4,740 |
| 20 | 453998 | Operating - TCAT | Facility Supplies | $ 98,019 |
| 21 | 811310 | Operating - TCAT | Fire extinguisher service | $ 2,045 |
| 22 | 813219 | Operating - TCAT | Grant consulting | $ 14,141 |
| 23 | 423420 | Operating - TCAT | IT supplies | $ 7,448 |
| 24 | 541519 | Operating - TCAT | IT support | $ 76,671 |
| 25 | 453998 | Operating - TCAT | Janitorial supplies | $ 42,998 |
| 26 | 561622 | Operating - TCAT | Keys and security locks | $ 9,793 |
| 27 | 541110 | Operating - TCAT | Legal Services | $ 198,358 |
| 28 | 423450 | Operating - TCAT | Medical supplies | $ 12,632 |
| 29 | 561730 | Operating - TCAT | Mowing/Landscaping | $ 1,484 |
| 30 | 453210 | Operating - TCAT | Office supplies | $ 46,738 |
| 31 | 238220 | Operating - TCAT | Plumbing | $ 1,230 |
| 32 | 323111 | Operating - TCAT | Printing services | $ 49,022 |
| 33 | 561790 | Operating - TCAT | Bus Shelter Cleaning | $ 17,955 |
| 37 | 441310 | Operating - TCAT | Shop supplies | $ 5,116 |
| 38 | 541519 | Operating - TCAT | Software Maint contract | $ 6,299 |
| 39 | 561320 | Operating - TCAT | Temp help - staffing Green St | $ 131,097 |
| 40 | 488410 | Operating - TCAT | Towing | $ 13,988 |
| 41 | 812320 | Operating - TCAT | Uniform cleaning | $ 3,031 |
| 42 | 424320 | Operating - TCAT | Uniforms | $ 30,798 |
| 43 | 562998 | Operating - TCAT | Waste services | $ 3,905 |
| 44 | 312112 | Operating - TCAT | Water for coolers | $ 5,478 |
|  | **Total FTA-Assisted Contract Funds** | | | **$ 2,404,362** |

Key: A Yellow Highlight indicate activities with certified DBEs.

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| Activity | DOT (FTA) Funding |
| Capital | $ 1,079,911 |
| Planning | $ 276,400 |
| Operating Assistance | $ 1,048,052[[2]](#footnote-2) |
| TOTAL | $ 2,404,363 |

**B. Local Market Area**

In determining the Local Market Area, all TCAT expenditures for one year - 2018, were examined except for bus procurements. The payees were categorized by region in order to determine their geographic distribution. After consideration, it was decided that the Local Market Area would include the following counties: Broome, Cayuga, Chemung, Cortland, Onondaga, Tioga and Tompkins. The summary data for these counties, the Local Market Area, and Total TCAT Expenditures are as follows:



Some other regions accounted for significant portions of the annual budget as the insurance and diesel fuel bills were paid to each region respectively, but were not included in the Local Market Area as these costs are not funded by the FTA.

**C. Data Sources for Determining Base Figures**

From the above proposed projects/activities for 2020, 2021 and 2022, a database of 2016 NAICS codes was used to look up the applicable code.[[3]](#footnote-3) The online US Census American FactFinder search function was used to lookup the total number of businesses for each NAICS code[[4]](#footnote-4) for all of the counties in the Local Market Area. This data included both DBE and non-DBE businesses. Information on the number of DBEs within the Local Market Area was obtained from the New York State Unified Certification Program (NYSUCP) Disadvantaged Business Enterprise (DBE) Directory. [[5]](#footnote-5)

**Step 1:  Base Figure**

Base figures were calculated for the contracts budgeted for award during the FFY 2020 – FFY 2022

period to determine the relative availability of DBEs with specific areas of expertise.

A. Number of DBEs:  To determine the numerator – the number of DBE firms ready, willing, and

able to do the work, the County used the New York State Unified Certification Program (NYSUCP) Disadvantaged Business Enterprise (DBE) Directory, filtered by 1) relevant NAICS

code expertise, 2) Work Category Codes specific to the scope of work to be done, and 3) firms

within TCAT’s local market area.

B. Number of all Firms:  For the denominator – the number of all firms (DBEs and non‐DBEs) ready,

willing, and able to perform the work, the County searched for all business by NAISC code using the Census American FactFinder search function for the counties in TCAT’s local market area.

C. Relative Availability of DBE Firms:  The upcoming FTA‐assisted activities were determined to

include work identified by thirty-six (36) NAICS codes.  The relative availability of DBE firms was

calculated by dividing the number of DBEs by the number of all firms for each NAICS code.

D. Weighting:  The NAICS codes were each assigned a relative weight, based on the percentage of

total work represented by the individual code.   The weighting was derived by dividing the

projected cost of work categorized under each of the NAICS codes by the total cost.   This percentage was then applied to the total budgeted FTA funds ($2,404,362), allocating FTA funds for each activity.

E. Calculation of Base Figure:  The base figure for the Overall Goal was calculated by multiplying

the weighted FTA dollars to be expended for each NAICS code by the percentage representing

relative availability of DBEs for that code in Step 1C.  The weighed DBE Goal is 1.37%.

See attached exhibits.

**Step 2:  Adjusting the Base Figure and Overall Goal**

The County and TCAT considered the following factors in assessing what, if any, adjustments should be made to the base figure:

D. DBE participation on previous federally‐funded contracts:  The largest single project completed in the past six years was a State of Good Repair construction project (Grant NY-04-0101, $4.5 million federal) managed by the City of Ithaca. The DBE participation of this construction project dwarfed the contracts managed by TCAT. The scope and scale of the City of Ithaca’s contracts was sufficiently dissimilar to TCAT’s future contracts that this historical data does not offer a basis for adjusting the goal.

E. Contract size and type:  There is insufficient similarity in contract size and type to provide a basis

for adjusting the goal.

Ultimately, the County determined that market availability, as represented by the data from the NYSUCP DBE database and the Census Bureau data, provides the most realistic assessment of the percentage of DBE participation we would expect in the absence of discrimination.  Accordingly, the weighed DBE Goal for the FFY 2020 through FFY 2022 period is 1.37%.

**IV. Breakout of Estimated Race‐Neutral and Race Conscious Participation**

The County will meet the maximum feasible portion of its overall goal by using race‐neutral means of

facilitating DBE participation.  Therefore, the County’s DBE overall goal of 1.37% will be met utilizing 0% race‐conscious and 1.37% race‐neutral methods.  The County will work with TCAT and Gadabout to use the following race‐neutral means to increase DBE participation:

• To the extent practicable, unbundling large contracts to make them more accessible to small

businesses.

• Notifying DBE firms in the local market of upcoming contracts (based on NYSUCP work category

codes).

• Encouraging Prime Contractors to subcontract portions of work that they might otherwise perform

with their own forces.

• Monitoring the Subcontractor process by requiring proof of Subcontractor consent, allowing

sufficient time for contacting Subcontractors and “demonstrations” of good faith efforts by Prime

Contractors.

• Providing DBE Program training for all staff with contracting authority and contract management

responsibility on DBE program elements, objectives and procedures.

In order to ensure that our DBE program will be narrowly tailored to overcome the effects of

discrimination, if TCAT and Gadabout use contract goals it will adjust the estimated breakout of race‐neutral and race‐conscious participation as needed to reflect actual DBE participation and will track

and report race‐neutral and race conscious participation separately.  For reporting purposes, race‐

neutral DBE participation includes, but is not necessarily limited to, the following: DBE participation

through a prime contract a DBE obtains through customary competitive procurement procedures; DBE

participation through a subcontract on a prime contract that does not carry DBE goal; DBE participation

on a prime contract exceeding a contract goal; and DBE participation through a subcontract from a

prime contractor that did not consider a firm’s DBE status in making the award.

TCAT and Gadabout will maintain data separately on DBE achievements in those contracts with and without contract goals, respectively.

V. Public Participation, 49CFR 26.45(g)

The County, TCAT and Gadabout will publish a notice of the proposed triennial goal on their respective websites. The County will send an email notice to the area Chambers of Commerce.

The County and TCAT will hold a web-meeting/conference call on November 1, 2019 from 1-2 PM to give an overview of upcoming contracts, answer questions and gather input on the triennial goal development.

* Join Zoom Meeting: <https://zoom.us/j/857590731>
* Call-in Number 929-436-2866, Meeting ID: 857 590 731
* Join by Skype for Business: <https://zoom.us/skype/857590731>

Afterwards, Tompkins County will send the results of the public participation process to FTA Region 2 Civil Rights Officer.

Dwight Mengel, Chief Transportation Planner, Tompkins County: 607-274-5605, [Dwight.mengel@dfa.state.ny.us](mailto:Dwight.mengel@dfa.state.ny.us)

Michael Smith, DBE Officer/ Operations Manager, TCAT, Inc.: 607-277-9388 x300, [ms1@tcatmail.com](file:///\\FNPHSEN5E0ZZ\USERS\50B002\WINDOWS\Dwight_Mengel\1%20FTA\DBE%20Plans%20County%20and%20TCAT\DBE%20Goal%202020-22\ms1@tcatmail.com%20)

Kristen Wells, DBE Officer/General Manager, Gadabout, Inc.: 607-277-9388 x200, [kw1@tcatmail.com](file:///\\FNPHSEN5E0ZZ\USERS\50B002\WINDOWS\Dwight_Mengel\1%20FTA\DBE%20Plans%20County%20and%20TCAT\DBE%20Goal%202020-22\kw1@tcatmail.com%20)

October 28, 2019

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|  |  |  | **Table A. NAICS Code, Descriptions of Work, NAICS Code Descriptions** |  |
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|  | **NAICS Code** | **FTA Project** | **Description of Work** | **NAICS Code Description** |
| 1 | 441110 | Capital | (3) autos, (1) Shop Truck, (1) Cargo Van - TCAT | New Car Dealers |
| 2 | 541511 | Capital | ITS Operations Technology - Gadabout | Custom Computer Programming Services |
| 3 | 333318 | Capital | Oil-Water Separator - TCAT | Other Commercial and Service Industry Machinery Manufacturing |
| 4 | 335999 | Capital | Mobile Generator & Charger - TCAT | All Other Miscellaneous Electrical Equipment and Component Manufacturing |
| 5 | 541620 | Planning | Short term Transit Planning Environmental Review - TCAT | Environmental Consulting Services |
| 6 | 541211 | Operating - TCAT | Accounting Service | Offices of Certified Public Accountants |
| 7 | 541810 | Operating - TCAT | Advertising | Advertising Agencies |
| 8 | 541211 | Operating - TCAT | Audit Service | Offices of Certified Public Accountants |
| 9 | 561740 | Operating - TCAT | Bus cleaning service | Carpet and Upholstery Cleaning Services |
| 10 | 424120 | Operating - TCAT | Copier supplies/repairs | Stationery and Office Supplies Merchant Wholesalers |
| 11 | 561990 | Operating - TCAT | Document shredding | All Other Support Services |
| 12 | 238210 | Operating - TCAT | Electrical work | Electrical Contractors and Other Wiring Installation Contractors |
| 13 | 621999 | Operating - TCAT | Employee drug testing | All Other Miscellaneous Ambulatory Health Care Services |
| 14 | 238290 | Operating - TCAT | Facility Cleaning | Other Building Contractors |
| 15 | 561621 | Operating - TCAT | Facility Fire Alarm Service | Security Systems Services (except Locksmiths) |
| 16 | 238220 | Operating - TCAT | Facility HVAC Maint. |  |
| 17 | 238990 | Operating - TCAT | Facility Repairs - Concrete Floor |  |
| 18 | 238290 | Operating - TCAT | Facility Repairs - Overhead Doors |  |
| 19 | 238910 | Operating - TCAT | Facility Repairs - Drainage |  |
| 20 | 453998 | Operating - TCAT | Facility Supplies |  |
| 21 | 811310 | Operating - TCAT | Fire extinguisher service |  |
| 22 | 813219 | Operating - TCAT | Grant consulting |  |
| 23 | 423420 | Operating - TCAT | IT supplies |  |
| 24 | 541519 | Operating - TCAT | IT support |  |
| 25 | 453998 | Operating - TCAT | Janitorial supplies |  |
| 26 | 561622 | Operating - TCAT | Keys and security locks |  |
| 27 | 541110 | Operating - TCAT | Legal Services |  |
| 28 | 423450 | Operating - TCAT | Medical supplies |  |
| 29 | 561730 | Operating - TCAT | Mowing/Landscaping |  |
| 30 | 453210 | Operating - TCAT | Office supplies |  |
| 31 | 238220 | Operating - TCAT | Plumbing |  |
| 32 | 323111 | Operating - TCAT | Printing services |  |
| 33 | 561790 | Operating - TCAT | Bus Shelter Cleaning |  |
| 37 | 441310 | Operating - TCAT | Shop supplies |  |
| 38 | 541519 | Operating - TCAT | Software Maint contract |  |
| 39 | 561320 | Operating - TCAT | Temp help - staffing Green St |  |
| 40 | 488410 | Operating - TCAT | Towing |  |
| 41 | 812320 | Operating - TCAT | Uniform cleaning |  |
| 42 | 424320 | Operating - TCAT | Uniforms |  |
| 43 | 562998 | Operating - TCAT | Waste services |  |
| 44 | 312112 | Operating - TCAT | Water for coolers |  |

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| **Step 1 A - Determine the weight of each type of work by NAICS Code:** | | | | | | |
| All reasonably anticipated FTA-assisted contracting opportunities are identified as: | | | | | | |
|  | **NAICS Code** | **FTA Project** | **Description of Work** | **Amount of DOT funds on project:** | **% of total DOT funds (weight)** | |
| 1 | 441110 | Capital | (3) autos, (1) Shop Truck, (1) Cargo Van - TCAT | $ 113,501 | **4.7%** | |
| 2 | 541511 | Capital | ITS Operations Technology - Gadabout | $ 560,000 | **23.3%** | |
| 3 | 333318 | Capital | Oil-Water Separator - TCAT | $ 69,758 | **2.9%** | |
| 4 | 335999 | Capital | Mobile Generator & Charger - TCAT | $ 336,651 | **14.0%** | |
| 5 | 541620 | Planning | Short term Transit Planning Environmental Review - TCAT | $ 276,400 | **11.5%** | |
| 6 | 541211 | Operating - TCAT | Accounting Service | $ 19,875 | **0.8%** | |
| 7 | 541810 | Operating - TCAT | Advertising | $ 39,554 | **1.6%** | |
| 8 | 541211 | Operating - TCAT | Audit Service | $ 18,000 | **0.7%** | |
| 9 | 561740 | Operating - TCAT | Bus cleaning service | $ 35,175 | **1.5%** | |
| 10 | 424120 | Operating - TCAT | Copier supplies/repairs | $ 15,632 | **0.7%** | |
| 11 | 561990 | Operating - TCAT | Document shredding | $ 1,997 | **0.1%** | |
| 12 | 238210 | Operating - TCAT | Electrical work | $ 21,665 | **0.9%** | |
| 13 | 621999 | Operating - TCAT | Employee drug testing | $ 1,652 | **0.1%** | |
| 14 | 238290 | Operating - TCAT | Facility Cleaning | $ 2,183 | **0.1%** | |
| 15 | 561621 | Operating - TCAT | Facility Fire Alarm Service | $ 9,467 | **0.4%** | |
| 16 | 238220 | Operating - TCAT | Facility HVAC Maint. | $ 36,096 | **1.5%** | |
| 17 | 238990 | Operating - TCAT | Facility Repairs - Concrete Floor | $ 49,018 | **2.0%** | |
| 18 | 238290 | Operating - TCAT | Facility Repairs - Overhead Doors | $ 14,754 | **0.6%** | |
| 19 | 238910 | Operating - TCAT | Facility Repairs - Drainage | $ 4,740 | **0.2%** | |
| 20 | 453998 | Operating - TCAT | Facility Supplies | $ 98,019 | **4.1%** | |
| 21 | 811310 | Operating - TCAT | Fire extinguisher service | $ 2,045 | **0.1%** | |
| 22 | 813219 | Operating - TCAT | Grant consulting | $ 14,141 | **0.6%** | |
| 23 | 423420 | Operating - TCAT | IT supplies | $ 7,448 | **0.3%** | |
| 24 | 541519 | Operating - TCAT | IT support | $ 76,671 | **3.2%** | |
| 25 | 453998 | Operating - TCAT | Janitorial supplies | $ 42,998 | **1.8%** | |
| 26 | 561622 | Operating - TCAT | Keys and security locks | $ 9,793 | **0.4%** | |
| 27 | 541110 | Operating - TCAT | Legal Services | $ 198,358 | **8.2%** | |
| 28 | 423450 | Operating - TCAT | Medical supplies | $ 12,632 | **0.5%** | |
| 29 | 561730 | Operating - TCAT | Mowing/Landscaping | $ 1,484 | **0.1%** | |
| 30 | 453210 | Operating - TCAT | Office supplies | $ 46,738 | **1.9%** | |
| 31 | 238220 | Operating - TCAT | Plumbing | $ 1,230 | **0.1%** | |
| 32 | 323111 | Operating - TCAT | Printing services | $ 49,022 | **2.0%** | |
| 33 | 561790 | Operating - TCAT | Bus Shelter Cleaning | $ 17,955 | **0.7%** | |
| 37 | 441310 | Operating - TCAT | Shop supplies | $ 5,116 | **0.2%** | |
| 38 | 541519 | Operating - TCAT | Software Maint contract | $ 6,299 | **0.3%** | |
| 39 | 561320 | Operating - TCAT | Temp help - staffing Green St | $ 131,097 | **5.5%** | |
| 40 | 488410 | Operating - TCAT | Towing | $ 13,988 | **0.6%** | |
| 41 | 812320 | Operating - TCAT | Uniform cleaning | $ 3,031 | **0.1%** | |
| 42 | 424320 | Operating - TCAT | Uniforms | $ 30,798 | **1.3%** | |
| 43 | 562998 | Operating - TCAT | Waste services | $ 3,905 | **0.2%** | |
| 44 | 312112 | Operating - TCAT | Water for coolers | $ 5,478 | **0.2%** | |
|  | **Total FTA-Assisted Contract Funds** | | | **$ 2,404,362** | **100.0%** | |

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| **Step 1 B - Determine the relative availability of DBE's by NAICS Code:**  "The numbers of DBEs and of all firms available to perform work on the identified contracting opportunities are: "   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  | **NAICS Code** | **Description of Work** | **Number of DBEs available to perform this work** | **Number of all firms available (including DBEs)** | **Relative Availability** | | 1 | 441110 | (3) autos, (1) Shop Truck, (1) Cargo Van - TCAT | 0 | 93 | 0.0000 | | 2 | 541511 | ITS Operations Technology - Gadabout | 0 | 126 | 0.0000 | | 3 | 333318 | Oil-Water Separator - TCAT | 0 | 7 | 0.0000 | | 4 | 335999 | Mobile Generator & Charger - TCAT | 0 | 4 | 0.0000 | | 5 | 541620 | Short term Transit Planning Environmental Review - TCAT | 4 | 36 | 0.1111 | | 6 | 541211 | Accounting Service | 0 | 115 | 0.0000 | | 7 | 541810 | Advertising | 0 | 31 | 0.0000 | | 8 | 541211 | Audit Service | 0 | 115 | 0.0000 | | 9 | 561740 | Bus cleaning service | 0 | 18 | 0.0000 | | 10 | 424120 | Copier supplies/repairs | 0 | 10 | 0.0000 | | 11 | 561990 | Document shredding | 0 | 40 | 0.0000 | | 12 | 238210 | Electrical work | 0 | 132 | 0.0000 | | 13 | 621999 | Employee drug testing | 0 | 17 | 0.0000 | | 14 | 238290 | Facility Cleaning | 0 | 29 | 0.0000 | | 15 | 561621 | Facility Fire Alarm Service | 0 | 22 | 0.0000 | | 16 | 238220 | Facility HVAC Maintenance. | 0 | 273 | 0.0000 | | 17 | 238990 | Facility Repairs - Concrete Floor | 0 | 127 | 0.0000 | | 18 | 238290 | Facility Repairs - Overhead Doors | 0 | 156 | 0.0000 | | 19 | 238910 | Facility Repairs - Drainage | 1 | 121 | 0.0083 | | 20 | 453998 | Facility Supplies | 0 | 64 | 0.0000 | | 21 | 811310 | Fire extinguisher service | 0 | 345 | 0.0000 | | 22 | 813219 | Grant consulting | 0 | 13 | 0.0000 | | 23 | 423420 | IT supplies | 0 | 28 | 0.0000 | | 24 | 541519 | IT support | 0 | 12 | 0.0000 | | 25 | 453998 | Janitorial supplies | 0 | 171 | 0.0000 | | 26 | 561622 | Keys and security locks | 0 | 11 | 0.0000 | | 27 | 541110 | Legal Services | 0 | 476 | 0.0000 | | 28 | 423450 | Medical supplies | 0 | 21 | 0.0000 | | 29 | 561730 | Mowing/Landscaping | 3 | 324 | 0.0093 | | 30 | 453210 | Office supplies | 0 | 16 | 0.0000 | | 31 | 238220 | Plumbing | 1 | 273 | 0.0037 | | 32 | 323111 | Printing services | 0 | 901 | 0.0000 | | 33 | 561790 | Bus Shelter Cleaning | 3 | 26 | 0.1154 | | 37 | 441310 | Shop supplies | 0 | 107 | 0.0000 | | 38 | 541519 | Software Maint contract | 0 | 11 | 0.0000 | | 39 | 561320 | Temp help - staffing Green St | 0 | 80 | 0.0000 | | 40 | 488410 | Towing | 0 | 23 | 0.0000 | | 41 | 812320 | Uniform cleaning | 0 | 40 | 0.0000 | | 42 | 424320 | Uniforms | 0 | 3 | 0.0000 | | 43 | 562998 | Waste services | 0 | 5 | 0.0000 | | 44 | 312112 | Water for coolers | 0 | 3 | 0.0000 | |  |  | Total | 12 | 4,425 | 0.3% | |  |  |  |  |  | Overall DBE Availability | |  |  |
| |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | **Step 1 C - (Weight) x (Availability) = Weighted Base Figure** | | | |  |  | |  |  |  |  |  |  | | **Row #** | **NAICS Code** | **Description of Work** | **Weight** | **Relative Availability** | **Weighted Base Figure** | | 5) | 541620 | Short term Transit Planning Environmental Review - TCAT | 11.50% | 0.11111 | 1.28% | | 19) | 238910 | Facility Repairs - Drainage | 0.20% | 0.00826 | 0.002% | | 29) | 561730 | Mowing /Landscaping | 0.06% | 0.00926 | 0.001% | | 31) | 238220 | Plumbing | 0.05% | 0.00926 | 0.0005% | | 33) | 561790 | Bus Shelter Cleaning | 0.7% | 0.11538 | 0.00086 | |  |  |  |  |  | **1.37%** | |  |  |  |  |  | **DBE Goal** | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  |  |  |  | |  |  |  | Estimate of Federal (FTA) Funding |  |  | |  |  | Capital Projects | $ 1,079,911 |  |  | |  |  | Planning | $ 276,400 |  |  | |  |  | Operating Assistance | $ 1,048,052 |  |  | |  |  | TOTAL | $ 2,404,363 |  |  | |  |  |  |  |  |  |   Note: This is an estimate of Federal (FTA) funding to be expended for Capital, Planning and Operating  Assistance activities for which there is contracting or purchasing activities. The estimate may change  depending upon Congressional appropriations and awards of competitive funding opportunities. The DBE  Plan may be amended depending upon changes in Federal funding. | | |

1. The first capital project includes three procurements for TCAT transit support vehicles under the same NAISC code. [↑](#footnote-ref-1)
2. $349,351 per year, out of $829,000 annual operating assistance. The amounts are based on 2018 expenditures x 50% (Federal share). [↑](#footnote-ref-2)
3. <https://www.naics.com/search/> [↑](#footnote-ref-3)
4. <https://factfinder.census.gov/faces/nav/jsf/pages/searchresults.xhtml?refresh=t> [↑](#footnote-ref-4)
5. <https://nysucp.newnycontracts.com/> [↑](#footnote-ref-5)